



# Mutual Fund Transfer Request

**This form may be used for non-retirement accounts ONLY.**

For more information, contact us toll-free at 800.820.0888.

Visit our web site at GuggenheimInvestments.com.

Standard delivery: Guggenheim Investments, P.O. Box 534493, Pittsburgh, PA 15253-4493

Overnight delivery: Guggenheim Investments, Attention: 534493, 500 Ross Street, Pittsburgh, PA 15262

## 1. ACCOUNT OWNER INFORMATION

Guggenheim Investments Account Type:

- Individual       Joint       UGMA/UTMA       Trust       Partnership  
 Sole Proprietor       S-Corporation       C-Corporation       LLC       Other \_\_\_\_\_  
(Specify Type)

Account Name	Social Security Number or Tax ID	Date of Birth
Mailing Address	Primary Telephone	Secondary Telephone
City, State, ZIP Code	Email Address	

Check here if the account owner is a Government Entity

## 2. ARE YOU OPENING A NEW ACCOUNT?

- Yes**, I have completed a Guggenheim Investments Account Application and this form. I will mail **both** forms to Guggenheim Investments.
- No**, please apply the proceeds to my existing account:

Account Number	Fund Name(s) <i>(If no fund is specified, proceeds will be purchased into Rydex U.S. Government Money Market.)</i>
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## 3. TRANSFER INFORMATION

Current Custodian/Trustee Firm Name	Account Number <i>(Complete one form for each account you are transferring.)</i>
Address	Account Type
City, State, ZIP Code	Telephone Number of Current Custodian

**If you are unsure of the correct address, contact your current custodian. An incorrect address may result in delays.  
PLEASE ATTACH A COPY OF THE MOST RECENT STATEMENT FOR THIS ACCOUNT.**

**Transfer Amount:** (check one)

- Complete Transfer.** Please liquidate all assets in my account.
- Partial Transfer.** Please liquidate \$ \_\_\_\_\_ from my account.
- Transfer-in-Kind.** Please transfer \_\_\_\_\_ shares of

\_\_\_\_\_  
(Rydex or Guggenheim Fund Name)

Redeem from \_\_\_\_\_  
(Fund Name)

**Please note: The cost basis method in effect on your Guggenheim Investments account will be the method used for transferred shares.  
If we do not receive basis information from your current custodian, any previously covered shares will become non-covered.**

#### 4. TRANSFER INSTRUCTIONS

Indicate your preferred transfer by checking a box below. If no method is selected, the default method is By Check.

- BY CHECK       BY WIRE       BY TRANSFER-IN-KIND

- Guggenheim Investments does not charge a fee for receiving a wire; however, your current custodian may charge a fee for redemptions by wire.
- Purchases into funds that trade twice a day are eligible for the afternoon price only.

#### 5. SIGNATURE(S)

I (we) have established an account with Guggenheim Investments. I (we) hereby authorize and direct you to transfer the specified assets in said account to Guggenheim Investments within thirty (30) days.

Owner's Signature

Date

Joint Owner's Signature (if applicable)

Date

**MEDALLION SIGNATURE GUARANTEE**

(Must be completed by an eligible guarantor institution if required by your current custodian.)

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Some custodians require a Medallion signature guarantee to transfer assets. Please check with your custodian to see if they require a Medallion signature guarantee. Failure to obtain a required Medallion signature guarantee(s) may result in a delay in the transfer of assets.

#### ACCEPTANCE AND DELIVERY

To the resigning Custodian:

Please see attached Letter of Acceptance and Delivery Instructions.

For questions or problems with this request, please contact the Account Transfer department at 800.820.0888, Monday through Friday, 8:30 a.m.–5:30 p.m. (ET).

#### HAVE YOU...

- included your Social Security number?
- completed Sections 1–5?
- checked to see if your current custodian requires a signature guarantee?
- signed this form?
- included a copy of your most recent account statement?

#### IMPORTANT THINGS TO KNOW REGARDING THIS TRANSFER

Account transfers can take from two to four weeks to complete. To check on the status of your transfer, please contact your current financial institution. You may wish to keep a copy of this completed transfer form for your records.

If you need additional transfer forms, you may make copies, download them from our web site at [GuggenheimInvestments.com/forms](http://GuggenheimInvestments.com/forms), or call us at 800.820.0888 to have additional forms mailed to you.

If you need assistance completing this form, call our Client Services department at 800.820.0888, Monday through Friday, 8:30 a.m.–5:30 p.m. (ET).

RMFT-8-0625