

## Guggenheim Total Return Bond Fund Named Focus Mutual Fund for February by S&P Capital IQ

---

Independent research provider selects one of Guggenheim's actively managed fixed-income solutions from among 4,000 taxable bond mutual funds based on a holdings-level analysis, performance, risk considerations and costs

---

**NEW YORK, NY – February 3, 2015** – Guggenheim Investments, the global asset management and investment advisory business of Guggenheim Partners, has had its Guggenheim Total Return Bond Fund (GIBAX) named Focus Mutual Fund for February by independent ratings agency S&P Capital IQ.

“GIBAX earns a high ranking from S&P Capital IQ, with favorable ranking inputs for its one- and three-year performance, the below-average duration, risk considerations, and for the tenure of management,” said Todd Rosenbluth, Director of ETF and Mutual Fund Research for S&P Capital IQ. “We also think the fund's above-average yield combined with below-average duration adds to its appeal.”

Guggenheim Total Return Bond Fund was ranked in the top 1% of all intermediate-term bond funds by Morningstar for the trailing 3-year period ended January 31, 2016.\*

### About Guggenheim Investments

Guggenheim Investments is the global asset management and investment advisory division of Guggenheim Partners, with \$198 billion<sup>1</sup> in assets across fixed income, equity, and alternative strategies. We focus on the return and risk needs of insurance companies, corporate and public pension funds, sovereign wealth funds, endowments and foundations, consultants, wealth managers, and high-net-worth investors. Our 275+ investment professionals perform rigorous research to understand market trends and identify undervalued opportunities in areas that are often complex and underfollowed. This approach to investment management has enabled us to deliver innovative strategies that provide opportunities for diversification and attractive long-term results.

### About S&P Capital IQ

S&P Capital IQ and SNL is a leading provider of data, research and analytics to global financial clients. Joined in September 2015, the combination of S&P Capital IQ and SNL provides investment professionals with deep industry coverage and robust data and analytics to help them make more informed decisions and gain sharper insights.

###

\* For the 1-year period GIBAX ranked 150 out of 1041 Intermediate Bond Funds (15<sup>th</sup> percentile) and for the 3-year period ranked 8 out of 947 Intermediate Bond Funds (1<sup>st</sup> percentile). Morningstar rankings are based on average annual total return. Source: Morningstar as of 1/31/2016.

Past performance is no guarantee of future results.

\*Source: ©2016 Morningstar Inc. All rights reserved. The information contained herein is proprietary to Morningstar and/or its content providers. It may not be copied or distributed and is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

Morningstar Rankings do not include the effect of a fund's sales load, if applicable. Other share classes may have different performance characteristics. Morningstar rankings are based on a fund's average annual total return relative to all funds in the same Morningstar category. Fund performance used within the rankings, reflects certain fee waivers, without which, returns and Morningstar rankings would have been lower. The highest (or most favorable) percentile rank is 1 and the lowest (or least favorable) percentile rank is 100. The top-performing fund in a category will always receive a rank of 1. Multiple share classes of a fund have a common portfolio but impose different expense structures.

**This Fund may not be suitable for all investors.** • The Fund's market value will change in response to interest rate changes and market conditions among other factors. In general, bond prices rise when interest rates fall and vice versa. • The Fund's exposure to high yield securities may subject the Fund to greater volatility. • When market conditions are deemed appropriate, the Fund will leverage to the full extent permitted by its investment policies and restrictions and applicable law. Leveraging will exaggerate the effect on net asset value of any increase or decrease in the market value of the Fund's portfolio. • The Fund may invest in derivative instruments, which may be more volatile and less liquid, increasing the risk of loss when compared to traditional securities. Certain of the derivative instruments are also subject to the risks of counterparty default and adverse tax treatment. • Instruments and strategies (such as borrowing transactions and reverse repurchase agreements) may provide leveraged exposure to a particular investment, which will magnify any gains or losses on those investments. • Investments in reverse repurchase agreements expose the Fund to the many of the same risks as investments in derivatives. • The Fund's investments in other investment vehicles subject the Fund to those risks and expenses affecting the investment vehicle. • The Fund's investments in foreign securities carry additional risks when compared to U.S. securities, due to the impact of diplomatic, political or economic developments in the country in question (investments in emerging markets securities are generally subject to an even greater level of risks). • Investments in syndicated bank loans generally offer a floating interest rate and involve special types of risks. • The Fund's investments in municipal securities can be affected by events that affect the municipal bond market. • The Fund's investments in real estate securities subject the Fund to the same risks as direct investments in real estate, which is particularly sensitive to economic downturns. • The Fund's investments in restricted securities may involve financial and liquidity risk. • You may have a gain or loss when you sell your shares. • It is important to note that the Fund is not guaranteed by the U.S. government. • Please read the prospectus for more detailed information regarding these and other risks.

***Read a fund's prospectus and summary prospectus (if available) carefully before investing. It contains the fund's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus and summary prospectus (if available) at [www.guggenheiminvestments.com](http://www.guggenheiminvestments.com) or call 800.820.0888.***

<sup>1</sup>Guggenheim Investments total asset figure is as of 12.31.2015. The assets include leverage of \$11.976bn for assets under management and \$0.487bn for assets for which we provide administrative services. Guggenheim Investments represents the following affiliated investment management businesses: Guggenheim Partners Investment Management, LLC, Security Investors, LLC, Guggenheim Funds Investment Advisors, LLC, Guggenheim Funds Distributors, LLC, Guggenheim Real Estate, LLC, Transparent Value Advisors, LLC, GS GAMMA Advisors, LLC, Guggenheim Partners Europe Limited and Guggenheim Partners India Management.

## **Media Contact**

**Ivy McLemore**

Guggenheim Partners

212.518.9859 – office

917.809.0725 – mobile 1 [Ivy.McLemore@GuggenheimPartners.com](mailto:Ivy.McLemore@GuggenheimPartners.com)

#21290