

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ▶ IRC SECTION §312 & §316

Blank lined area for listing applicable Internal Revenue Code sections and subsections.

18 Can any resulting loss be recognized? ▶ N/A

Blank lined area for providing information regarding loss recognition.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ▶ N/A

Blank lined area for providing other necessary information for the adjustment.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here
Signature ▶ [Handwritten Signature] Date ▶ 1/7/2019
Print your name ▶ ROBERT WHITE Title ▶ TREASURER

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> If self-employed	PTIN
	Firm's name ▶				Firm's EIN ▶
	Firm's address ▶				Phone no.

Advent Claymore Convertible Securities And Income Fund
TICKER: AVK
"ROC" Per Share 2018

EIN: 11-3683138
"ROC" Percentage: 69.12%

RETURN OF CAPITAL DISTRIBUTION "ROC" AS A PART OF THE FOLLOWING DISTRIBUTIONS:
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<u>RECORD DATE:</u>	<u>TOTAL PER SHARE DISTRIBUTION:</u>	<u>ROC PER SHARE DISTRIBUTION:</u>
12/14/2018	\$0.117200	\$0.000000
11/15/2018	\$0.117200	\$0.000000
10/15/2018	\$0.117200	\$0.081009
9/14/2018	\$0.117200	\$0.081009
8/15/2018	\$0.117200	\$0.081009
7/13/2018	\$0.117200	\$0.081009
6/15/2018	\$0.117200	\$0.081009
5/15/2018	\$0.117200	\$0.081009
4/13/2018	\$0.117200	\$0.081009
3/15/2018	\$0.117200	\$0.081009
2/15/2018	\$0.117000	\$0.080870
1/12/2018	\$0.116400	\$0.080456