

# GUGGENHEIM

## 2020 Tax Information Qualified Dividend Income (QDI) & Dividend Received Deduction

The following information is presented for those clients who either do not receive a 1099-DIV or would like to see this information independent of their Form 1099-DIV. If you received a Combined Form 1099-DIV/B for your account, the amounts have already been calculated and are located in Box 1b (Qualified Dividends) of the 1099-DIV portion of the form.

**Please Note:** If a fund that paid an ordinary dividend or short term capital gain in 2020 is not listed, that fund has 0.00% Qualified Dividend Income.

Fund Name <i>(Applicable for all classes unless noted)</i>	QDI%	Dividend Received Deduction%
Alpha Opportunity	100.00%	100.00%
Banking	100.00%	100.00%
Basic Materials	64.28%	44.37%
Biotechnology	100.00%	100.00%
Capital Stewardship (Institutional)	82.26%	85.39%
Consumer Products	100.00%	96.26%
Diversified Income	See below	38.62%
Dow Jones Industrial Average®	24.39%	24.75%
Energy	100.00%	100.00%
Energy Services	100.00%	100.00%
Europe 1.25x Strategy	46.73%	4.36%
Guggenheim Directional Allocation Fund (A, Instl, and P classes only)	100.00%	100.00%
Guggenheim RBP® Dividend Fund	See below	100.00%
Guggenheim RBP® Large Cap Defensive Fund	75.88%	74.32%
Guggenheim RBP® Large Cap Value Fund	100.00%	100.00%
Health Care	12.72%	11.81%
High Yield	See below	1.48%
Investment Grade Bond	See below	.05%
Large Cap Value	100.00%	100.00%
Limited Duration	See below	.26%

Long Short Equity (A, Instl, and P classes only)	100.00%	100.00%
Macro Opportunities	See below	.87%
Market Neutral Real Estate	4.31%	4.31%
Mid-Cap 1.5x Strategy	100.00%	100.00%
Monthly Rebalance NASDAQ-100 2x Strategy	3.69%	5.45%
Multi-Hedge Strategies	100.00%	100.00%
NASDAQ 100	82.97%	81.21%
NASDAQ 100 2x Strategy	5.02%	5.02%
NOVA	100.00%	100.00%
Precious Metals	48.81%	19.86%
Real Estate	7.61%	1.35%
Risk Managed Real Estate	See below	3.59%
Russell 2000 1.5x Strategy	6.05%	5.32%
S&P 500	18.51%	18.35%
S&P 500 2x Strategy	85.67%	85.25%
S&P 500 Pure Growth	10.43%	10.28%
S&P 500 Pure Value	86.20%	85.79%
S&P MidCap 400 Pure Growth	100.00%	100.00%
S&P MidCap 400 Pure Value	100.00%	100.00%
Small Cap Value (A, Instl, and P classes only)	58.71%	58.71%
StylePlus – Large Core	74.04%	74.15%
StylePlus – Mid Growth (A, Instl, and P classes only)	81.30%	80.82%
Telecommunications	100.00%	100.00%
Transportation	100.00%	n/a
Total Return Bond	See below	.05%
Utilities	70.24%	65.14%
World Equity Income	See below	100.00%

<b>Diversified Income – Class A, C, Institutional &amp; P</b>												
1/31/20	2/28/20	3/31/20	4/30/20	5/29/20	6/30/20	7/31/20	8/31/20	9/30/20	10/30/20	11/30/20	12/31/20	
31.61%	31.61%	31.61%	31.61%	31.61%	31.61%	31.61%	31.61%	31.61%	93.34%	93.34%	93.34%	
<b>Guggenheim RBP® Dividend Fund – Class A, C, Institutional &amp; P</b>												
		3/17/20			6/16/20			9/15/20			12/15/20	
		100.00%			100.00%			100.00%			100.00%	
<b>High Yield – Class A, C, Institutional, P &amp; R6</b>												
1/31/20	2/28/20	3/31/20	4/30/20	5/29/20	6/30/20	7/31/20	8/31/20	9/30/20	10/30/20	11/30/20	12/31/20	
1.80%	1.80%	1.80%	1.80%	1.80%	1.80%	1.80%	1.80%	1.80%	.51%	.51%	.51%	
<b>Investment Grade Bond – Class A, C, Institutional &amp; P</b>												
1/31/20	2/28/20	3/31/20	4/30/20	5/29/20	6/30/20	7/31/20	8/31/20	9/30/20	10/30/20	11/30/20	12/31/20	12/17/20
									.40%	.40%	.40%	.40%
<b>Limited Duration – Class A, C, Institutional &amp; P</b>												
1/31/20	2/28/20	3/31/20	4/30/20	5/29/20	6/30/20	7/31/20	8/31/20	9/30/20	10/30/20	11/30/20	12/31/20	12/17/20
									.80%	.80%	.80%	.80%
<b>Macro Opportunities – Class A, C, Institutional &amp; P</b>												
1/31/20	2/28/20	3/31/20	4/30/20	5/29/20	6/30/20	7/31/20	8/31/20	9/30/20	10/30/20	11/30/20	12/31/20	
.61%	.61%	.61%	.61%	.61%	.61%	.61%	.61%	.61%	1.37%	1.37%	1.37%	
<b>Risk Managed Real Estate – Class A</b>												
		3/31/20			6/30/20			9/30/20			12/31/20	
		3.75%			3.75%			3.75%			3.49%	
<b>Risk Managed Real Estate – Class C</b>												
		3/31/20			6/30/20			9/30/20			12/31/20	
		3.75%			3.75%			3.75%			3.57%	
<b>Risk Managed Real Estate – Class Institutional</b>												
		3/31/20			6/30/20			9/30/20			12/31/20	
		3.75%			3.75%			3.75%			3.46%	

<b>Risk Managed Real Estate – Class P</b>												
		<b>3/31/20</b>			<b>6/30/20</b>			<b>9/30/20</b>			<b>12/31/20</b>	
		3.75%			3.75%			3.75%			3.50%	
<b>Total Return Bond – Class A, C, Institutional, P and R6</b>												
<b>1/31/20</b>	<b>2/28/20</b>	<b>3/31/20</b>	<b>4/30/20</b>	<b>5/29/20</b>	<b>6/30/20</b>	<b>7/31/20</b>	<b>8/31/20</b>	<b>9/30/20</b>	<b>10/30/20</b>	<b>11/30/20</b>	<b>12/31/20</b>	<b>12/17/20</b>
									.27%	.27%	.27%	.27%
<b>World Equity Income – Class A, C, Institutional &amp; P</b>												
		<b>3/31/20</b>			<b>6/30/20</b>			<b>9/30/20</b>			<b>12/31/20</b>	
		100.00%			100.00%			100.00%			100.00%	