

# GUGGENHEIM

## 2021 Tax Information Qualified Dividend Income (QDI) & Dividend Received Deduction

The following information is presented for those clients who either do not receive a 1099-DIV or would like to see this information independent of their Form 1099-DIV. If you received a Combined Form 1099-DIV/B for your account, the amounts have already been calculated and are located in Box 1b (Qualified Dividends) of the 1099-DIV portion of the form.

**Please Note:** If a fund that paid an ordinary dividend or short term capital gain in 2021 is not listed, that fund has 0.00% Qualified Dividend Income.

Fund Name <i>(Applicable for all classes unless noted)</i>	QDI%	Dividend Received Deduction%
Alpha Opportunity	100.00%	100.00%
Banking	100.00%	100.00%
Basic Materials	36.45%	17.83%
Biotechnology	7.72%	6.27%
Consumer Products	100.00%	93.43%
Core Bond Fund	See below	2.10%
Diversified Income	See below	12.22%
Dow 2x Strategy	7.74%	3.51%
Dow Jones Industrial Average®	13.33%	13.47%
Electronics	18.50%	11.06%
Energy	100.00%	100.00%
Energy Services	100.00%	100.00%
Financial Services	39.33%	36.41%
Floating Rate Strategies	See below	1.02%
Guggenheim Directional Allocation Fund	33.18%	32.97%
Guggenheim RBP® Dividend Fund	See below	24.42%
Guggenheim RBP® Large Cap Defensive Fund	9.93%	9.62%
Guggenheim RBP® Large Cap Market Fund	16.52%	16.39%
Guggenheim RBP® Large Cap Value Fund	35.60%	35.38%
Health Care	4.73%	3.43%

High Yield	See below	4.15%
Internet	0.93%	0.67%
Inverse High Yield Strategy	0.16%	0.16%
Large Cap Value	100.00%	100.00%
Leisure	13.16%	12.26%
Limited Duration	0.00%	0.08%
Long Short Equity-(A, Instl, and P Classes Only)	100.00%	100.00%
Long Short Equity – C Class Only	0.00%	100.00%
Macro Opportunities	See below	2.07%
Market Neutral Real Estate	5.50%	5.50%
Mid- Cap 1.5x Strategy	1.46%	1.17%
Monthly Rebalance NASDAQ-100 2x Strategy	10.59%	10.85%
NASDAQ 100	15.97%	11.58%
NASDAQ 100 2x Strategy	7.38%	6.90%
Nova	1.95%	1.98%
Precious Metals	26.84%	12.86%
Real Estate	7.24%	7.24%
Retailing	4.93%	3.80%
Risk Managed Real Estate	3.49%	3.46%
S&P 500	18.88%	18.77%
S&P 500 2x Strategy	2.88%	1.82%
S&P 500 Pure Growth	47.79%	46.54%
S&P 500 Pure Value	100.00%	100.00%
S&P MidCap 400 Pure Growth	4.09%	4.02%
S&P MidCap 400 Pure Value	58.18%	57.15%
S&P SmallCap 600 Pure Growth	2.24%	1.97%
SMid Cap Value	44.22%	43.67%
StylePlus - Large Core	8.99%	8.85%
StylePlus - Mid Growth	2.99%	2.91%
Technology	6.73%	5.83%
Telecommunications	100.00%	100.00%
Total Return Bond	See below	1.55%
Transportation	17.17%	12.68%
Utilities	100.00%	100.00%

World Equity Income	See below	7.85%
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\*The QDI for these funds has not yet been determined and will be updated at a later date.

<b>Core Bond – Class A, C, Institutional &amp; P</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	12/16/21
1.75%	1.75%	1.75%	1.75%	1.75%	1.75%	1.75%	1.75%	1.75%	5.64%	5.64%	5.64%	5.64%
<b>Diversified Income – Class A, C, Institutional &amp; P</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	
17.57%	17.57%	17.57%	17.57%	17.57%	17.57%	17.57%	17.57%	17.57%	6.84%	6.84%	6.84%	
<b>Floating Rate Strategies – Class A, C, Institutional, P &amp; R6</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	
1.63%	1.63%	1.63%	1.63%	1.63%	1.63%	1.63%	1.63%	1.63%	0.00%	0.00%	0.00%	
<b>Guggenheim RBP® Dividend Fund – Class A, C, Institutional &amp; P</b>												
		3/31/21			6/30/21			9/30/21			12/31/21	
		100.00%			100.00%			100.00%			4.69%	
<b>High Yield – Class A, C, Institutional, P &amp; R6</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	
4.38%	4.38%	4.38%	4.38%	4.38%	4.38%	4.38%	4.38%	4.38%	3.78%	3.78%	3.78%	
<b>Macro Opportunities – Class A, C, Institutional &amp; P</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	
1.94%	1.94%	1.94%	1.94%	1.94%	1.94%	1.94%	1.94%	1.94%	2.65%	2.65%	2.65%	
<b>Total Return Bond – Class A, C, Institutional, P and R6</b>												
1/29/21	2/26/21	3/31/21	4/30/21	5/28/21	6/30/21	7/30/21	8/31/21	9/30/21	10/29/21	11/30/21	12/31/21	12/16/21
1.29%	1.29%	1.29%	1.29%	1.29%	1.29%	1.29%	1.29%	1.29%	2.89%	2.89%	2.89%	2.89%
<b>World Equity Income – Class A, C, Institutional &amp; P</b>												
		3/31/21			6/30/21			9/30/21			12/31/21	
		100.00%			100.00%			100.00%			3.31%	