

GUGGENHEIM

2023 Tax Information Qualified Dividend Income (QDI) & Dividend Received Deduction

The following information is presented for those clients who either do not receive a 1099-DIV or would like to see this information independent of their Form 1099-DIV. If you received a Combined Form 1099-DIV/B for your account, the amounts have already been calculated and are located in Box 1b (Qualified Dividends) of the 1099-DIV portion of the form.

Please Note: If a fund that paid an ordinary dividend or short term capital gain in 2023 is not listed, that fund has 0.00% Qualified Dividend Income.

Fund Name	Share Class	QDI%	Dividend Received Deduction%
Alpha Opportunity	A, Instl, P	100.00%	100.00%
Banking	A, C, H, Inv	100.00%	100.00%
Basic Materials	A, C, H, Inv	100.00%	96.81%
Consumer Products	A, C, H, Inv	95.46%	87.79%
Core Bond	A, C, Instl, P	See below	0.88%
Diversified Income	A, C, Instl, P	See below	2.12%
Dow 2x Strategy	A, C, H	100.00%	100.00%
Dow Jones Industrial Average®	A, C, H	94.45%	100.00%
Emerging Markets 2X Strategy	A, C, H	62.82%	1.69%
Energy	A, C, H, Inv	100.00%	100.00%
Europe 1.25x Strategy	A, C, H	81.22%	4.42%
Guggenheim Directional Allocation Fund	A, C, Instl, P	15.59%	15.05%
Guggenheim RBP® Dividend Fund	A, C, Instl, P	See below	100.00%
Guggenheim RBP® Large Cap Defensive Fund	A, Instl, P	100.00%	100.00%
Guggenheim RBP® Large Cap Market Fund	A, Instl, P	100.00%	100.00%
Guggenheim RBP® Large Cap Value Fund	A, C, Instl, P	100.00%	100.00%
High Yield	A, C, Instl, P, R6	See below	0.64%
Large Cap Value	A, C, Instl, P	100.00%	100.00%
Leisure	A, C, H, Inv	100.00%	100.00%
Limited Duration	A, C, Instl, P, R6	See below	0.06%

Long Short Equity	A, Instl, P	100.00%	100.00%
Macro Opportunities	A, C, Instl, P, R6	See below	1.34%
Market Neutral Real Estate	A, C, Instl, P	1.18%	1.18%
Mid-Cap 1.5x Strategy	A, C, H	100.00%	100.00%
Monthly Rebalance NASDAQ- 100 2x Strategy	A, C, H	15.74%	15.33%
Multi-Hedge Strategies	A, C, Instl, P	7.60%	5.71%
Nova	A, C, H, Inv	74.49%	84.93%
Precious Metals	A, C, H, Inv	60.55%	17.63%
Real Estate	A, C, H	2.93%	2.93%
Risk Managed Real Estate	A, C, Inst, P	See below	2.14%
Russell 2000	A, C, H	100.00%	100.00%
Russell 2000 1.5x Strategy	A, C, H	100.00%	100.00%
Russell 2000 2x Strategy	A, C, H	83.13%	78.55%
S&P 500	A, C, H	100.00%	100.00%
S&P 500 2x Strategy	A, C, H	100.00%	100.00%
S&P 500 Pure Value	A, C, H	100.00%	100.00%
S&P MidCap 400 Pure Value	A, C, H	100.00%	100.00%
S&P SmallCap 600 Pure Value	A, C, H	100.00%	100.00%
Small Cap Value	A, C, Instl, P	100.00%	100.00%
SMid Cap Value	A, Instl, P	100.00%	100.00%
StylePlus - Large Core	A, C, Instl, P	12.17%	11.48%
StylePlus - Mid Growth	A, C, Instl, P	10.10%	7.16%
Telecommunications	A, C, H, Inv	100.00%	100.00%
Total Return Bond	A, C, Instl, P, R6	See below	1.11%
Transportation	A, C, H, Inv	100.00%	100.00%
Utilities	A, C, H, Inv	100.00%	100.00%
World Equity Income	A, C, Instl, P	See below	67.55%

*The QDI for these funds has not yet been determined and will be updated at a later date.

Core Bond – Class A, C, Institutional & P												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
1.35%	1.35%	1.35%	1.35%	1.35%	1.35%	1.35%	1.35%	1.35%	0.09%	0.09%	0.09%	
Diversified Income – Class A, C, Institutional & P												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
9.84%	9.84%	9.84%	9.84%	9.84%	9.84%	9.84%	9.84%	9.84%	12.28%	12.28%	12.28%	
Guggenheim RBP® Dividend Fund – Class A, C, Institutional & P												
		3/31/23			6/30/23			9/29/23			12/29/23	
		100.00%			100.00%			100.00%			100.00%	
High Yield – Class A, C, Institutional, P & R6												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
0.98%	0.98%	0.98%	0.98%	0.98%	0.98%	0.98%	0.98%	0.98%	0.41%	0.41%	0.41%	
Limited Duration – Class A, C, Institutional, P & R6												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
0.07%	0.07%	0.07%	0.07%	0.07%	0.07%	0.07%	0.07%	0.07%	0.01%	0.01%	0.01%	
Macro Opportunities – Class A, C, Institutional & P												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
1.49%	1.49%	1.49%	1.49%	1.49%	1.49%	1.49%	1.49%	1.49%	1.00%	1.00%	1.00%	
Risk Managed Real Estate – Class A, C, Institutional & P												
		3/31/23			6/30/23			9/29/23			12/29/23	
		2.14%			2.14%			2.14%			2.78%	
Total Return Bond – Class A, C, Institutional, P and R6												
1/31/23	2/28/23	3/31/23	4/28/23	5/31/23	6/30/23	7/31/23	8/31/23	9/29/23	10/31/23	11/30/23	12/29/23	
1.45%	1.45%	1.45%	1.45%	1.45%	1.45%	1.45%	1.45%	1.45%	0.54%	0.54%	0.54%	
World Equity Income – Class A, C, Institutional & P												
		3/31/23			6/30/23			9/29/23			12/29/23	
		100.00%			100.00%			100.00%			100.00%	