



**BNY MELLON**

2 Hanson Place  
12th Floor  
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

**Report of Organizational Actions  
 Affecting Basis of Securities**

▶ See separate instructions.

**Part I Reporting Issuer**

1 Issuer's name  SEE APPENDIX A		2 Issuer's employer identification number (EIN)  SEE APPENDIX A	
3 Name of contact for additional information  BNY MELLON, TRUSTEE	4 Telephone No. of contact  866-568-8985	5 Email address of contact  BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact  P.O. BOX 455		7 City, town, or post office, state, and Zip code of contact  EAST SYRACUSE, NY 13057	
8 Date of action  SEE APPENDIX A	9 Classification and description  RETURN OF CAPITAL		
10 CUSIP number  SEE APPENDIX A	11 Serial number(s)  N/A	12 Ticker symbol  SEE APPENDIX A	13 Account number(s)  N/A

**Part II Organizational Action** Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ▶ DURING THE YEAR ENDED DECEMBER 31, 2013, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX A FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ▶ APPENDIX A PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ▶ AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

**Part II Organizational Action** (continued)

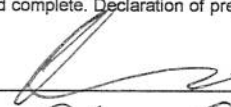
17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ►  
I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ► THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

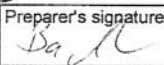
19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ►  
THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2013.

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ►  Date ► 2/20/14

Print your name ► Ch. So Title ► VP

<b>Paid Preparer Use Only</b>	Print/Type preparer's name Baruch J. Cohen	Preparer's signature 	Date <u>2/20/2014</u>	Check <input checked="" type="checkbox"/> if self-employed	PTIN P01269048
	Firm's name ► <u>DELOITTE TAX LLP</u>			Firm's EIN ► <u>86-1065772</u>	
	Firm's address ► <u>1633 BROADWAY NEW YORK, NY 10019-6754</u>			Phone no. <u>212-492-3600</u>	









Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORP TR SER 24	27-3162574	18387Q420	18387Q438				GHC024	12/15/2013	\$ 4.16000	\$ 0.19427
CLAYMORE GUGGENHEIM BUILD AMERICA BONDS TR SER 2	27-3162598	18387Q503	18387Q511				GBAB002	12/18/2013	\$ 19.72000	\$ 1.35082
CLAYMORE GUGGENHEIM BUILD AMERICA TRUST SERIES 3	27-3250287	18387Q529	18387Q537				GBAB003	12/18/2013	\$ 5.35000	\$ 3.72681
CLAYMORE GUGGENHEIM HIGH YIELD TRUST SERIES 4	27-3162611	18387Q545	18387Q552				GSYH004	2/15/2013	\$ 14.38000	\$ 13.61786
CLAYMORE GUGGENHEIM HIGH YIELD TRUST SERIES 4	27-3162611	18387Q545	18387Q552				GSYH004	4/15/2013	\$ 96.56000	\$ 91.44232
CLAYMORE GUGGENHEIM HIGH YIELD TRUST SERIES 4	27-3162611	18387Q545	18387Q552				GSYH004	5/15/2013	\$ 39.43000	\$ 37.34021
CLAYMORE GUGGENHEIM HIGH YIELD TRUST SERIES 4	27-3162611	18387Q545	18387Q552				GSYH004	8/15/2013	\$ 41.30000	\$ 39.11110
CLAYMORE GUGG BUILD AMERICA TR SR 4	27-3368575	18387R105	18387R113				GBAB 004	12/18/2013	\$ 7.84000	\$ 3.46058
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	1/15/2013	\$ 4.24000	\$ 0.16833
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	2/15/2013	\$ 4.24000	\$ 0.16833
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	3/15/2013	\$ 4.23000	\$ 0.16714
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	4/15/2013	\$ 4.23000	\$ 0.16674
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	5/15/2013	\$ 4.23000	\$ 0.16793
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	6/15/2013	\$ 4.22000	\$ 0.16753
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	7/15/2013	\$ 4.23000	\$ 0.16793
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	8/15/2013	\$ 4.24000	\$ 0.16833
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	9/15/2013	\$ 4.25000	\$ 0.16873
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	10/15/2013	\$ 4.23000	\$ 0.16793
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	11/15/2013	\$ 4.26000	\$ 0.16912
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER 25	27-3244289	18387R204	18387R212				GHC025	12/15/2013	\$ 4.23000	\$ 0.16793
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	1/15/2013	\$ 3.52000	\$ 0.26787
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	1/15/2013	\$ 43.88000	\$ 43.88000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	2/15/2013	\$ 2.99000	\$ 0.22754
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	2/15/2013	\$ 51.26000	\$ 51.26000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	3/15/2013	\$ 2.91000	\$ 0.22145
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	4/15/2013	\$ 2.71000	\$ 0.20623
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	4/15/2013	\$ 53.90000	\$ 53.90000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	5/15/2013	\$ 2.61000	\$ 0.19862
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	6/15/2013	\$ 2.62000	\$ 0.19938
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	7/15/2013	\$ 2.61000	\$ 0.19862
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	8/15/2013	\$ 2.47000	\$ 0.18797
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	8/15/2013	\$ 46.42000	\$ 46.42000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	9/15/2013	\$ 2.20000	\$ 0.16742
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	9/15/2013	\$ 46.32000	\$ 46.32000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	10/15/2013	\$ 1.93000	\$ 0.14687
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	10/15/2013	\$ 44.11000	\$ 44.11000
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	11/15/2013	\$ 1.81000	\$ 0.13774
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	12/15/2013	\$ 1.77000	\$ 0.13470
CLAYMORE GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 5	27-3368465	18387R220	18387R238				GSYH005	12/15/2013	\$ 5.76000	\$ 5.76000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	1/15/2013	\$ 5.91000	\$ 0.20271
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	2/15/2013	\$ 5.92000	\$ 0.20306
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	3/15/2013	\$ 5.93000	\$ 0.20340
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	4/15/2013	\$ 5.86000	\$ 0.20100
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	5/15/2013	\$ 5.59000	\$ 0.19174
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	5/15/2013	\$ 36.15000	\$ 36.05963
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	6/15/2013	\$ 5.31000	\$ 0.18213
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	6/15/2013	\$ 43.36000	\$ 43.25160
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	7/15/2013	\$ 5.12000	\$ 0.17562
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	7/15/2013	\$ 35.91000	\$ 35.82023
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	8/15/2013	\$ 6.74000	\$ 0.23118
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	9/15/2013	\$ 5.16000	\$ 0.17699
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	10/15/2013	\$ 5.13000	\$ 0.17596
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	10/15/2013	\$ 7.11000	\$ 7.09223
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	11/15/2013	\$ 5.02000	\$ 0.17219
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	12/15/2013	\$ 5.09000	\$ 0.17459
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 13	45-4440018	40166A145	40166A152				GSYH013	12/15/2013	\$ 28.30000	\$ 28.2925
ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 1	45-4513195	40166A160	40166A178	40166A186	40166A194		IZIP 001	1/15/2013	\$ 0.03380	\$ 0.00154
ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 1	45-4513195	40166A160	40166A178	40166A186	40166A194		IZIP 001	2/15/2013	\$ 0.01560	\$ 0.00071
ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 1	45-4513195	40166A160	40166A178	40166A186	40166A194		IZIP 001	3/15/2013	\$ 0.03870	\$ 0.00176
ZACKS INTERNATIONAL INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 1	45-4513195	40166A160	40166A178	40166A186	40166A194		IZIP 001	4/15/2013	\$ 0.03060	\$ 0.00139
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	1/15/2013	\$ 0.04510	\$ 0.00721
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	2/15/2013	\$ 0.04040	\$ 0.00646
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	3/15/2013	\$ 0.06310	\$ 0.01009
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	4/15/2013	\$ 0.04750	\$ 0.00760
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	4/15/2013	\$ 0.55170	\$ 0.55170
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	5/15/2013	\$ 0.03520	\$ 0.00563
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	5/15/2013	\$ 0.18740	\$ 0.18740
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	6/15/2013	\$ 0.05550	\$ 0.00887
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	6/15/2013	\$ 0.46640	\$ 0.46640
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	7/15/2013	\$ 0.04410	\$ 0.00705
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	7/15/2013	\$ 0.14330	\$ 0.14030
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	8/15/2013	\$ 0.02580	\$ 0.00413
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	9/15/2013	\$ 0.05120	\$ 0.00819
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	9/15/2013	\$ 0.08320	\$ 0.08320
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	10/15/2013	\$ 0.04130	\$ 0.00660
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	10/15/2013	\$ 0.04250	\$ 0.04250
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	11/15/2013	\$ 0.02320	\$ 0.00371
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 28	45-4803447	40166C661	40166C679	40166C687	40166C695		PREF 028	12/15/2013	\$ 0.05000	\$ 0.00800
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 14	45-4977133	40166C745	40166C752				GSYH014	1/15/2013	\$ 6.15000	\$ 0.30197
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 14	45-4977133	40166C745	40166C752				GSYH014			





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FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 30	35-2453416	401666407	401666415	401666423	401666431		PREF 030	10/15/2013	\$ 0.13570	\$ 0.13570
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 30	35-2453416	401666407	401666415	401666423	401666431		PREF 030	11/15/2013	\$ 0.03110	\$ 0.00240
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 30	35-2453416	401666407	401666415	401666423	401666431		PREF 030	12/15/2013	\$ 0.05900	\$ 0.00455
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	1/15/2013	\$ 6.21000	\$ 1.21592
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	2/15/2013	\$ 6.18000	\$ 1.21004
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	3/15/2013	\$ 6.19000	\$ 1.21200
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	4/15/2013	\$ 6.18000	\$ 1.21004
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	5/15/2013	\$ 6.11000	\$ 1.19634
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	5/15/2013	\$ 12.31000	\$ 12.31000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	6/15/2013	\$ 6.12000	\$ 1.19830
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	7/15/2013	\$ 6.11000	\$ 1.19634
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	8/15/2013	\$ 6.11000	\$ 1.19634
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	9/15/2013	\$ 6.10000	\$ 1.19438
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	9/15/2013	\$ 9.38000	\$ 9.38000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	10/15/2013	\$ 6.06000	\$ 1.18655
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	11/15/2013	\$ 6.06000	\$ 1.18655
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 20	35-2453455	401666449	401666456				GSXY 020	12/15/2013	\$ 6.06000	\$ 1.18655
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	2/15/2013	\$ 3.38000	\$ 1.20058
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	3/15/2013	\$ 6.35000	\$ 2.25552
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	4/15/2013	\$ 6.36000	\$ 2.25907
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	5/15/2013	\$ 6.29000	\$ 2.23421
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	5/15/2013	\$ 11.15000	\$ 11.15000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	6/15/2013	\$ 6.28000	\$ 2.23066
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	7/15/2013	\$ 6.29000	\$ 2.23421
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	8/15/2013	\$ 6.29000	\$ 2.23421
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	9/15/2013	\$ 6.28000	\$ 2.23066
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	10/15/2013	\$ 6.29000	\$ 2.23421
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	11/15/2013	\$ 6.29000	\$ 2.23421
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	12/15/2013	\$ 6.27000	\$ 2.22710
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 22	35-2463538	401661708	401661716				GSXY 022	12/15/2013	\$ 4.66000	\$ 4.66000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	4/15/2013	\$ 4.07000	\$ 1.55393
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	5/15/2013	\$ 6.43000	\$ 2.45497
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	5/15/2013	\$ 9.16000	\$ 9.16000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	6/15/2013	\$ 6.33000	\$ 2.41679
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	7/15/2013	\$ 6.37000	\$ 2.43207
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	8/15/2013	\$ 6.38000	\$ 2.43588
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	9/15/2013	\$ 6.38000	\$ 2.43588
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	10/15/2013	\$ 6.38000	\$ 2.43588
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	11/15/2013	\$ 6.38000	\$ 2.43588
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 23	35-2469597	401661724	401661732				GSXY 023	12/15/2013	\$ 6.38000	\$ 2.43588
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	1/15/2013	\$ 3.37000	\$ 0.05426
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	2/15/2013	\$ 3.35000	\$ 0.05394
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	3/15/2013	\$ 3.35000	\$ 0.05394
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	4/15/2013	\$ 2.93000	\$ 0.04717
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	4/15/2013	\$ 135.03000	\$ 135.03000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	5/15/2013	\$ 2.40000	\$ 0.03864
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	5/15/2013	\$ 27.81000	\$ 27.81000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	6/15/2013	\$ 1.99000	\$ 0.03204
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	6/15/2013	\$ 47.29000	\$ 47.29000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	7/15/2013	\$ 1.95000	\$ 0.03140
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	8/15/2013	\$ 2.18000	\$ 0.03510
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	9/15/2013	\$ 1.97000	\$ 0.03172
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	10/15/2013	\$ 1.98000	\$ 0.03188
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	11/15/2013	\$ 1.98000	\$ 0.03188
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	12/15/2013	\$ 1.84000	\$ 0.02962
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 6	27-3607934	40167C108	40167C116				GSXY006	12/15/2013	\$ 50.58000	\$ 50.58000
GUGGENHEIM BUILD AMERICA BONDS TRUST SERIES 5	27-3586380	40167C488	40167C496				GBAB 005	12/18/2013	\$ 7.23000	\$ 3.43570
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	1/15/2013	\$ 4.50000	\$ 0.34875
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	2/15/2013	\$ 4.50000	\$ 0.34875
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	3/15/2013	\$ 4.52000	\$ 0.34798
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	4/15/2013	\$ 4.49000	\$ 0.35030
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	5/15/2013	\$ 4.51000	\$ 0.34953
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	6/15/2013	\$ 4.48000	\$ 0.34720
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	7/15/2013	\$ 4.48000	\$ 0.34720
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	8/15/2013	\$ 4.48000	\$ 0.34720
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	9/15/2013	\$ 4.47000	\$ 0.34643
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	10/15/2013	\$ 4.47000	\$ 0.34643
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	11/15/2013	\$ 4.27000	\$ 0.33093
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 26	27-3696605	40167C504	40167C512				GIIC026	12/15/2013	\$ 4.36000	\$ 0.33790
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	1/15/2013	\$ 0.03000	\$ 0.00666
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	1/15/2013	\$ 0.37030	\$ 0.37030
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	2/15/2013	\$ 0.02390	\$ 0.00531
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	2/15/2013	\$ 0.09190	\$ 0.09190
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	3/15/2013	\$ 0.06680	\$ 0.01483
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	3/15/2013	\$ 0.08810	\$ 0.08810
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	4/15/2013	\$ 0.02980	\$ 0.00662
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 24	27-4215360	40167D148	40167D155	40167D163	40167D171		PREF 024	4/15/2013	\$ 0.56280	\$ 0.56280
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 28	27-4425800	40167D387	40167D395				GIIC028	12/18/2013	\$ 47.19000	\$ 0.65594
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 8	45-3080645	40167D684	40167D692				GSXY008	5/15/2013	\$ 80.63000	\$ 67.39862
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 8	45-3080645	40167D684	40167D692				GSXY008	6/15/2013	\$ 6.65000	\$ 5.55874
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 8	45-3080645	40167D684	40167D692				GSXY008	12/15/2013	\$ 18.17000	\$ 15.18830
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SE RIES 29	45-0831835	40167E740	40167E757				GIIC029	1/15/2013	\$ 3.87000	\$ 0.00194
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SE RIES 29	45-0831835	40167E740								

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	1/15/2013	\$ 0.03140	\$ 0.00771
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	1/15/2013	\$ 0.19030	\$ 0.17030
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	2/15/2013	\$ 0.03200	\$ 0.00786
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	3/15/2013	\$ 0.06750	\$ 0.01657
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	3/15/2013	\$ 0.02230	\$ 0.01996
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	4/15/2013	\$ 0.03160	\$ 0.00776
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	4/15/2013	\$ 0.32780	\$ 0.29335
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	5/15/2013	\$ 0.02820	\$ 0.00692
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	5/15/2013	\$ 0.37990	\$ 0.33997
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	6/15/2013	\$ 0.05610	\$ 0.01377
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	6/15/2013	\$ 0.63220	\$ 0.56576
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	7/15/2013	\$ 0.02790	\$ 0.00685
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	7/15/2013	\$ 0.31510	\$ 0.28198
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	8/15/2013	\$ 0.01880	\$ 0.00462
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	9/15/2013	\$ 0.05280	\$ 0.01296
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	10/15/2013	\$ 0.02330	\$ 0.00572
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 26	45-3696196	40167N625	40167N633	40167N641	40167N658		PREF 026	10/15/2013	\$ 0.13330	\$ 0.11929
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 10	45-3672687	40167N666	40167N674				GSHY010	4/15/2013	\$ 122.91000	\$ 120.52555
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 10	45-3672687	40167N666	40167N674				GSHY010	5/15/2013	\$ 32.78000	\$ 32.14407
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 10	45-3672687	40167N666	40167N674				GSHY010	6/15/2013	\$ 21.29000	\$ 20.87697
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 10	45-3672687	40167N666	40167N674				GSHY010	12/15/2013	\$ 35.98000	\$ 35.28199
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 9	45-3357012	40167P265	40167P273				GSHY009	4/15/2013	\$ 113.17000	\$ 111.80064
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 9	45-3357012	40167P265	40167P273				GSHY009	5/15/2013	\$ 29.91000	\$ 29.54809
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 9	45-3357012	40167P265	40167P273				GSHY009	6/15/2013	\$ 56.14000	\$ 55.46071
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 9	45-3357012	40167P265	40167P273				GSHY009	8/15/2013	\$ 23.47000	\$ 23.18601
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	4/15/2013	\$ 120.82000	\$ 116.12010
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	5/15/2013	\$ 8.05000	\$ 7.73686
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	6/15/2013	\$ 86.93000	\$ 83.54842
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	7/15/2013	\$ 18.71000	\$ 17.98218
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	9/15/2013	\$ 42.57000	\$ 40.91403
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 11	45-3861829	40167P687	40167P695				GSHY011	12/15/2013	\$ 33.98000	\$ 32.65818
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	1/15/2013	\$ 5.81000	\$ 0.49327
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	2/15/2013	\$ 5.77000	\$ 0.48987
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	3/15/2013	\$ 5.78000	\$ 0.49072
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	4/15/2013	\$ 5.63000	\$ 0.47799
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	4/15/2013	\$ 28.35000	\$ 21.10658
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	5/15/2013	\$ 5.54000	\$ 0.47035
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	6/15/2013	\$ 5.58000	\$ 0.47374
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	7/15/2013	\$ 5.47000	\$ 0.46440
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	8/15/2013	\$ 6.59000	\$ 0.55949
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	9/15/2013	\$ 5.52000	\$ 0.46865
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	10/15/2013	\$ 5.53000	\$ 0.46950
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	11/15/2013	\$ 5.52000	\$ 0.46865
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 12	45-4265481	40167Q826	40167Q834				GSHY012	12/15/2013	\$ 5.50000	\$ 0.46695
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	1/15/2013	\$ 4.30000	\$ 0.22102
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	2/15/2013	\$ 4.29000	\$ 0.22051
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	3/15/2013	\$ 4.26000	\$ 0.21896
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	4/15/2013	\$ 4.25000	\$ 0.21845
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	5/15/2013	\$ 4.25000	\$ 0.21845
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	6/15/2013	\$ 4.24000	\$ 0.21794
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	7/15/2013	\$ 4.25000	\$ 0.21845
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	8/15/2013	\$ 4.26000	\$ 0.21896
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	9/15/2013	\$ 4.27000	\$ 0.21948
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	10/15/2013	\$ 4.28000	\$ 0.21999
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	11/15/2013	\$ 4.25000	\$ 0.21845
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SR 27	27-3943566	40167R261	40167R279				GIIC027	12/15/2013	\$ 4.26000	\$ 0.21896
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 7	27-4079179	40167R766	40167R774				GSHY007	4/15/2013	\$ 84.12000	\$ 83.67416
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 7	27-4079179	40167R766	40167R774				GSHY007	5/15/2013	\$ 25.89000	\$ 25.57278
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES 7	27-4079179	40167R766	40167R774				GSHY007	9/15/2013	\$ 16.87000	\$ 16.78059
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	1/15/2013	\$ 0.05120	\$ 0.00975
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	2/15/2013	\$ 0.03750	\$ 0.00714
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	3/15/2013	\$ 0.05810	\$ 0.01106
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	4/15/2013	\$ 0.05220	\$ 0.00994
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	4/15/2013	\$ 0.18800	\$ 0.18800
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	5/15/2013	\$ 0.03530	\$ 0.00672
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	5/15/2013	\$ 0.37220	\$ 0.37220
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	6/15/2013	\$ 0.05610	\$ 0.01068
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	6/15/2013	\$ 0.49410	\$ 0.49410
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	7/15/2013	\$ 0.04750	\$ 0.00904
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	7/15/2013	\$ 0.27040	\$ 0.27040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	8/15/2013	\$ 0.02760	\$ 0.00526
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	9/15/2013	\$ 0.05280	\$ 0.01005
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	10/15/2013	\$ 0.04080	\$ 0.00777
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	10/15/2013	\$ 0.13240	\$ 0.13240
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	11/15/2013	\$ 0.02560	\$ 0.00487
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 27	45-4427169	40167U108	40167U116	40167U124	40167U132		PREF 027	12/15/2013	\$ 0.05010	\$ 0.00954
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	1/15/2013	\$ 6.64000	\$ 0.18924
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	2/15/2013	\$ 6.64000	\$ 0.18924
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	3/15/2013	\$ 6.64000	\$ 0.18924
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	4/15/2013	\$ 6.51000	\$ 0.18554
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	4/15/2013	\$ 32.90000	\$ 31.57413
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	5/15/2013	\$ 6.28000	\$ 0.17898
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	5/15/2013	\$ 32.07000	\$ 30.77758
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	6/15/2013	\$ 6.16000	\$ 0.17556
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	7/15/2013	\$ 6.16000	\$ 0.17556
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 16	35-2447387	40167U629	40167U637				GSHY016	8/15/2013	\$ 6.31000	\$ 0.17984

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	5/15/2013	\$ 6.28000	\$ 1.80550
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	5/15/2013	\$ 14.98000	\$ 14.98000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	6/15/2013	\$ 5.99000	\$ 1.72213
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	6/15/2013	\$ 33.48000	\$ 33.48000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	7/15/2013	\$ 5.99000	\$ 1.72213
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	8/15/2013	\$ 5.98000	\$ 1.71925
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	9/15/2013	\$ 5.98000	\$ 1.71925
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	10/15/2013	\$ 5.98000	\$ 1.71925
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	11/15/2013	\$ 5.98000	\$ 1.71925
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	12/15/2013	\$ 5.96000	\$ 1.71350
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 21	35-2459421	40167V783	40167V791				GSY021	12/15/2013	\$ 7.11000	\$ 7.11000
ML TOP PICKS FOR 2013	35-2466478	40168A184	40168A192	40168A200	40168A218		MPX 001	8/15/2013	\$ 0.29040	\$ 0.08494
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	8/15/2013	\$ 2.96000	\$ 1.88138
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	9/15/2013	\$ 5.93000	\$ 3.76911
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	10/15/2013	\$ 5.94000	\$ 3.77546
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	11/15/2013	\$ 5.94000	\$ 3.77546
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	12/15/2013	\$ 5.91000	\$ 3.75640
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 26	35-2479714	40168B224	40168B232				GSY 026	12/15/2013	\$ 7.06000	\$ 7.06000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	5/15/2013	\$ 2.97000	\$ 1.26789
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	6/15/2013	\$ 5.94000	\$ 2.53579
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	7/15/2013	\$ 5.96000	\$ 2.54432
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	8/15/2013	\$ 5.95000	\$ 2.54006
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	9/15/2013	\$ 5.95000	\$ 2.54006
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	10/15/2013	\$ 5.94000	\$ 2.53579
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	11/15/2013	\$ 5.95000	\$ 2.54006
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	12/15/2013	\$ 5.91000	\$ 2.52298
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 24	35-2472900	40168C586	40168C594				GSY 024	12/15/2013	\$ 10.16000	\$ 10.16000
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	3/15/2013	\$ 0.04710	\$ 0.00418
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	4/15/2013	\$ 0.04120	\$ 0.00366
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	5/15/2013	\$ 0.03480	\$ 0.00309
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	6/15/2013	\$ 0.06770	\$ 0.00601
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	6/15/2013	\$ 0.09440	\$ 0.00940
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	7/15/2013	\$ 0.04240	\$ 0.00377
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	7/15/2013	\$ 0.06650	\$ 0.00650
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	8/15/2013	\$ 0.02950	\$ 0.00262
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	9/15/2013	\$ 0.06620	\$ 0.00588
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	10/15/2013	\$ 0.03530	\$ 0.00313
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	11/15/2013	\$ 0.02920	\$ 0.00259
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	35-2463577	40168D154	40168D162	40168D170	40168D188		PREF 031	12/15/2013	\$ 0.07050	\$ 0.00626
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	6/15/2013	\$ 3.16000	\$ 1.39451
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	7/15/2013	\$ 5.93000	\$ 2.61691
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	8/15/2013	\$ 5.93000	\$ 2.61691
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	9/15/2013	\$ 5.93000	\$ 2.61691
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	10/15/2013	\$ 5.94000	\$ 2.62132
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	11/15/2013	\$ 5.93000	\$ 2.61691
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	12/15/2013	\$ 5.91000	\$ 2.60808
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 25	35-2473001	40168E343	40168E350				GSY 025	12/15/2013	\$ 5.24000	\$ 5.24000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 27	35-2482808	40168F621	40168F639				GSY 027	9/15/2013	\$ 3.37000	\$ 2.31249
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 27	35-2482808	40168F621	40168F639				GSY 027	10/15/2013	\$ 5.94000	\$ 4.07603
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 27	35-2482808	40168F621	40168F639				GSY 027	11/15/2013	\$ 5.95000	\$ 4.08289
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 27	35-2482808	40168F621	40168F639				GSY 027	12/15/2013	\$ 5.95000	\$ 4.08289
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 28	35-2485412	40168F720	40168F738				GSY 028	10/15/2013	\$ 2.77000	\$ 2.39134
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 28	35-2485412	40168F720	40168F738				GSY 028	11/15/2013	\$ 5.95000	\$ 5.13664
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 28	35-2485412	40168F720	40168F738				GSY 028	12/15/2013	\$ 5.96000	\$ 5.14527
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 29	35-2485479	40168J706	40168J714				GSY 029	11/15/2013	\$ 2.67000	\$ 2.67000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES 29	35-2485479	40168J706	40168J714				GSY 029	12/15/2013	\$ 5.35000	\$ 5.35000
ONEFOLIO PRIVATE SELECT SERIES 40	35-2487158	40168J722	40168J730				ONEP 040	12/18/2013	\$ 0.02020	\$ 0.01425
SECULAR GROWTH PORTFOLIO 2013 SERIES	35-2485463	40168K763	40168K771	40168K789	40168K797		MSSG 001	12/18/2013	\$ 0.00330	\$ 0.00330
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES30	35-2487135	40168K463	40168K471				GSY 030	12/15/2013	\$ 3.59000	\$ 3.59000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES30	35-2487135	40168K463	40168K471				GSY 030	12/18/2013	\$ 0.53000	\$ 0.53000

Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.



**Part II Organizational Action** (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ►  
I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ► THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ►  
THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2013.

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.			
	Signature ►	<i>[Handwritten Signature]</i>	Date ►	<i>3/10/2014</i>
<b>Paid Preparer Use Only</b>	Print your name ►	<i>THOMAS Balbone</i>	Title ►	<i>VP</i>
	Print/Type preparer's name	Baruch J. Cohen	Preparer's signature	<i>[Handwritten Signature]</i>
	Firm's name	DELOITTE TAX LLP	Date	<i>3/10/2014</i>
	Firm's address	1633 BROADWAY NEW YORK, NY 10019-6754	Check <input checked="" type="checkbox"/> if self-employed	PTIN P01269048
			Firm's EIN	86-1065772
			Phone no.	212-492-3600

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	1/15/2013	\$ 0.03970	\$ 0.00906
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	1/15/2013	\$ 0.03260	\$ 0.07016
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	2/15/2013	\$ 0.02550	\$ 0.00582
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	2/15/2013	\$ 0.12230	\$ 0.04200
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	3/15/2013	\$ 0.05270	\$ 0.01203
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	3/15/2013	\$ 0.05830	\$ 0.05255
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	4/15/2013	\$ 0.03720	\$ 0.00849
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	4/15/2013	\$ 0.35040	\$ 0.13582
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	5/15/2013	\$ 0.01450	\$ 0.00331
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	5/15/2013	\$ 0.19890	\$ 0.17927
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	6/15/2013	\$ 0.05460	\$ 0.01247
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	6/15/2013	\$ 0.87190	\$ 0.78584
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	7/15/2013	\$ 0.02370	\$ 0.00541
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	7/15/2013	\$ 0.40410	\$ 0.36422
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	8/15/2013	\$ 0.25720	\$ 0.23181
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	9/15/2013	\$ 0.04440	\$ 0.01014
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	9/15/2013	\$ 0.19060	\$ 0.17179
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	10/15/2013	\$ 0.01850	\$ 0.00422
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	10/15/2013	\$ 0.51420	\$ 0.46345
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 18	27-0573233	18387F226	18387F234	18387F242	18387F259		PREF 018	12/15/2013	\$ 0.03390	\$ 0.00774
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	1/15/2013	\$ 0.04300	\$ 0.00141
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	2/15/2013	\$ 0.02990	\$ 0.00098
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	3/15/2013	\$ 0.02030	\$ 0.00067
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	4/15/2013	\$ 0.06340	\$ 0.00209
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	5/15/2013	\$ 0.03300	\$ 0.00109
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	6/15/2013	\$ 0.01650	\$ 0.00054
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	7/15/2013	\$ 0.05790	\$ 0.00190
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	8/15/2013	\$ 0.03290	\$ 0.00108
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	9/15/2013	\$ 0.01870	\$ 0.00062
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	10/15/2013	\$ 0.05740	\$ 0.00189
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	11/15/2013	\$ 0.03140	\$ 0.00103
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	12/15/2013	\$ 0.01890	\$ 0.00062
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	12/18/2013	\$ 0.03500	\$ 0.00186
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	12/18/2013	\$ 0.17950	\$ 0.03630
GUGGENHEIM REIT PORTFOLIO SERIES 6	45-4744614	40166A699	40166A707	40166A715	40166A723		GRET 006	12/31/2013	\$ 0.01170	\$ 0.00038
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	2/15/2013	\$ 0.07090	\$ 0.00834
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	3/15/2013	\$ 0.02370	\$ 0.00279
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	4/15/2013	\$ 0.04250	\$ 0.00500
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	5/15/2013	\$ 0.07760	\$ 0.00913
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	6/15/2013	\$ 0.01560	\$ 0.00184
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	6/15/2013	\$ 0.26350	\$ 0.13689
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	7/15/2013	\$ 0.03800	\$ 0.00447
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	8/15/2013	\$ 0.07700	\$ 0.00906
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	9/15/2013	\$ 0.01870	\$ 0.00220
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	10/15/2013	\$ 0.03800	\$ 0.00447
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	11/15/2013	\$ 0.07500	\$ 0.00883
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) SERIES 2	45-4919782	40166C703	40166C711	40166C729	40166C737		TZIP 002	12/15/2013	\$ 0.02330	\$ 0.00262
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	1/15/2013	\$ 0.03370	\$ 0.01537
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	2/15/2013	\$ 0.03700	\$ 0.01687
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	3/15/2013	\$ 0.03850	\$ 0.01756
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	4/15/2013	\$ 0.06080	\$ 0.02772
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	5/15/2013	\$ 0.05550	\$ 0.02531
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	6/15/2013	\$ 0.01440	\$ 0.00657
BLUEPRINTS TACTICAL HIGH DIVIDEND PORTFOLIO SERIES 5	45-5256432	40166D669	40166D677	40166D685	40166D693		GHDG 005	7/15/2013	\$ 0.03700	\$ 0.01628
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	1/15/2013	\$ 0.03250	\$ 0.00287
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	2/15/2013	\$ 0.04220	\$ 0.00295
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	3/15/2013	\$ 0.06440	\$ 0.00451
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	4/15/2013	\$ 0.04780	\$ 0.00335
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	4/15/2013	\$ 0.19190	\$ 0.01900
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	5/15/2013	\$ 0.03770	\$ 0.00264
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	5/15/2013	\$ 0.06500	\$ 0.00454
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	6/15/2013	\$ 0.37590	\$ 0.37590
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	7/15/2013	\$ 0.04440	\$ 0.00311
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	8/15/2013	\$ 0.02920	\$ 0.00204
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	9/15/2013	\$ 0.06440	\$ 0.00451
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	10/15/2013	\$ 0.04340	\$ 0.00304
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	11/15/2013	\$ 0.02830	\$ 0.00198
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 29	35-2449226	40166E428	40166E436	40166E444	40166E451		PREF 029	12/15/2013	\$ 0.06430	\$ 0.00450
GUGGENHEIM REIT PORTFOLIO SERIES 7	35-2453394	40166F383	40166F391	40166F409	40166F417		GRET 007	1/15/2013	\$ 0.01400	\$ 0.00555
GUGGENHEIM REIT PORTFOLIO SERIES 7	35-2453394	40166F383	40166F391	40166F409	40166F417		GRET 007	2/15/2013	\$ 0.02580	\$ 0.01022
GUGGENHEIM REIT PORTFOLIO SERIES 7	35-2453394	40166F383	40166F391	40166F409	40166F417		GRET 007	3/15/2013	\$ 0.01910	\$ 0.00757
GUGGENHEIM REIT PORTFOLIO SERIES 7	35-2453394	40166F383	40166F391	40166F409	40166F417		GRET 007	4/15/2013	\$ 0.06540	\$ 0.02591
GUGGENHEIM REIT PORTFOLIO SERIES 7	35-2453394	40166F383	40166F391	40166F409	40166F417		GRET 007	5/15/2013	\$ 0.03010	\$ 0.01





ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) , SERIES 5	35-2482793	40168F647	40168F654	40168F662	40168F670		TZIP 005	9/15/2013	\$	0.01200	\$	0.00282
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) , SERIES 5	35-2482793	40168F647	40168F654	40168F662	40168F670		TZIP 005	10/15/2013	\$	0.05010	\$	0.01176
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) , SERIES 5	35-2482793	40168F647	40168F654	40168F662	40168F670		TZIP 005	11/15/2013	\$	0.07570	\$	0.01777
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) , SERIES 5	35-2482793	40168F647	40168F654	40168F662	40168F670		TZIP 005	12/15/2013	\$	0.02180	\$	0.00512
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR) , SERIES 5	35-2482793	40168F647	40168F654	40168F662	40168F670		TZIP 005	12/18/2013	\$	0.07480	\$	0.01756
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 32	35-2482802	40168F688	40168F696	40168F704	40168F712		PREF 032	9/15/2013	\$	0.05160	\$	0.02478
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 32	35-2482802	40168F688	40168F696	40168F704	40168F712		PREF 032	10/15/2013	\$	0.03690	\$	0.01772
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 32	35-2482802	40168F688	40168F696	40168F704	40168F712		PREF 032	11/15/2013	\$	0.03890	\$	0.01868
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 32	35-2482802	40168F688	40168F696	40168F704	40168F712		PREF 032	12/15/2013	\$	0.07750	\$	0.03722
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 32	35-2482802	40168F688	40168F696	40168F704	40168F712		PREF 032	12/18/2013	\$	0.10790	\$	0.05181
ALTERNATIVE INCOME PORTFOLIO SERIES 1	35-2485443	40168H742	40168H759	40168H767	40168H775		AIP 001	11/15/2013	\$	0.04380	\$	0.02142
ALTERNATIVE INCOME PORTFOLIO SERIES 1	35-2485443	40168H742	40168H759	40168H767	40168H775		AIP 001	12/15/2013	\$	0.02850	\$	0.01394
ALTERNATIVE INCOME PORTFOLIO SERIES 1	35-2485443	40168H742	40168H759	40168H767	40168H775		AIP 001	12/18/2013	\$	0.12100	\$	0.05918
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 23	35-2485462	40168J300	40168J318	40168J326	40168J334		CZIP 023	12/15/2013	\$	0.01790	\$	0.00342
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 23	35-2485462	40168J300	40168J318	40168J326	40168J334		CZIP 023	12/18/2013	\$	0.08080	\$	0.01542
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 6	35-2485461	40168J342	40168J359	40168J367	40168J375		TZIP 006	12/15/2013	\$	0.01780	\$	0.00278
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 6	35-2485461	40168J342	40168J359	40168J367	40168J375		TZIP 006	12/18/2013	\$	0.07590	\$	0.01184
MLP & ENERGY FUNDS PORTFOLIO SERIES 4	35-7049523	40168K422	40168K430	40168K455	40168K448		MLP 004	12/31/2013	\$	0.01150	\$	0.00196

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