



2 Hanson Place
12th Floor
Brooklyn, NY 11217

The Bank of New York Mellon, in its capacity as Trustee, has prepared the information posted by the Sponsor herein in accordance with its duties, obligations and standard of care as set forth in the Trust Agreement.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-2224

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
SEE APPENDIX A		SEE APPENDIX A	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and Zip code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action		9 Classification and description	
SEE APPENDIX A		RETURN OF CAPITAL	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX A	N/A	SEE APPENDIX A	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ► DURING THE YEAR ENDED DECEMBER 31, 2016, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX A FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ► APPENDIX A PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ► AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ►
 I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ► THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ►
THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2016.

Sign
Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ►

Print your name ►

Date ►

Title ►

**Paid
Preparer
Use Only**

Print/Type preparer's name
Baruch J. Cohen

Preparer's signature

Date

Check ☒ if
self-employed

PTIN
P01269048

Firm's name ► DELOITTE TAX LLP

Firm's EIN ► 86-1065772

Firm's address ► 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015

Phone no. 212-492-3600

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	1/1/2016	\$ 0.00102	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	2/1/2016	\$ 0.00022	\$ 0.00022
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	2/1/2016	\$ 0.00103	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	3/1/2016	\$ 0.00101	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	3/1/2016	\$ 0.00337	\$ 0.00337
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	4/1/2016	\$ 0.00002	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	5/1/2016	\$ 0.00100	\$ 0.00000
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	6/1/2016	\$ 0.00097	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	6/1/2016	\$ 0.00452	\$ 0.00452
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	7/1/2016	\$ 0.00097	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	8/1/2016	\$ 0.00095	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	8/1/2016	\$ 0.00437	\$ 0.00437
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	9/1/2016	\$ 0.00014	\$ 0.00014
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	9/1/2016	\$ 0.00095	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	10/1/2016	\$ 0.00094	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	11/1/2016	\$ 0.00096	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	12/1/2016	\$ 0.00092	\$ 0.00002
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 17	20-3855985	183842236					DGNMA17	12/1/2016	\$ 0.00105	\$ 0.00105
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 1	26-4581723	183841689	183841697				GIIC 001	4/15/2016	\$ 42.90000	\$ 34.34888
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 1	26-4581723	183841689	183841697				GIIC 001	5/15/2016	\$ 7.95000	\$ 6.36239
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 1	26-4581723	183841689	183841697				GIIC 001	9/15/2016	\$ 9.30000	\$ 7.44279
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 1	26-4581723	183841689	183841697				GIIC 001	10/15/2016	\$ 21.19000	\$ 16.95836
CLAYMORE GUGGENHEIM LONG-TERM NATIONAL MUNICIPAL TRUST SER 1	26-4581800	183841663	183841671				GLTM 001	3/15/2016	\$ 46.90000	\$ 11.64996
CLAYMORE GUGGENHEIM LONG-TERM NATIONAL MUNICIPAL TRUST SER 1	26-4581800	183841663	183841671				GLTM 001	12/15/2016	\$ 45.33000	\$ 11.25997
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	1/15/2016	\$ 3.46000	\$ 0.22282
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	2/15/2016	\$ 3.46000	\$ 0.22282
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	3/15/2016	\$ 3.46000	\$ 0.22282
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	4/15/2016	\$ 3.43000	\$ 0.22089
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	4/15/2016	\$ 29.34000	\$ 26.57030
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	5/15/2016	\$ 3.32000	\$ 0.21381
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	6/15/2016	\$ 3.33000	\$ 0.21445
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	7/15/2016	\$ 3.32000	\$ 0.21381
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	8/15/2016	\$ 3.33000	\$ 0.21445
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	9/15/2016	\$ 3.32000	\$ 0.21381
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	10/15/2016	\$ 2.00000	\$ 1.99323
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	10/15/2016	\$ 3.31000	\$ 0.21316
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	11/15/2016	\$ 3.31000	\$ 0.21316
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	11/15/2016	\$ 17.22000	\$ 15.99443
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 2	26-4712593	18387C462	18387C488				GIIC 002	12/15/2016	\$ 3.28000	\$ 0.21123
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	1/15/2016	\$ 3.71000	\$ 0.28604
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	2/15/2016	\$ 3.73000	\$ 0.28758
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	3/15/2016	\$ 3.73000	\$ 0.28758
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	4/15/2016	\$ 3.69000	\$ 0.28450
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	4/15/2016	\$ 29.05000	\$ 24.55016
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	5/15/2016	\$ 3.58000	\$ 0.27602
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	6/15/2016	\$ 3.58000	\$ 0.27602
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	7/15/2016	\$ 3.56000	\$ 0.27448
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	8/15/2016	\$ 3.57000	\$ 0.27525
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	9/15/2016	\$ 3.56000	\$ 0.27371
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	10/15/2016	\$ 3.54000	\$ 0.27299
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	11/15/2016	\$ 3.55000	\$ 0.27371
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	11/15/2016	\$ 30.11000	\$ 25.44596
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST GRADE CORPTR SER 3	26-4798192	18387C546	18387C553				GIIC 003	12/15/2016	\$ 3.42000	\$ 0.26368
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	1/15/2016	\$ 3.80000	\$ 0.35758
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	2/15/2016	\$ 3.81000	\$ 0.35852
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	3/15/2016	\$ 3.79000	\$ 0.35664
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	4/15/2016	\$ 3.77000	\$ 0.35476
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	4/15/2016	\$ 33.66000	\$ 24.38330
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	5/15/2016	\$ 3.65000	\$ 0.34347
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	6/15/2016	\$ 3.67000	\$ 0.34535
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	7/15/2016	\$ 3.65000	\$ 0.34347
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	8/15/2016	\$ 3.65000	\$ 0.34347
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	9/15/2016	\$ 3.67000	\$ 0.34535
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	10/15/2016	\$ 3.66000	\$ 0.34441
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	11/15/2016	\$ 3.67000	\$ 0.34535
CLAYMORE-GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 4	27-0228521	18387C728	18387C736				GIIC 004	12/15/2016	\$ 3.68000	\$ 0.34629
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	1/15/2016	\$ 3.91000	\$ 0.53020
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	2/15/2016	\$ 3.93000	\$ 0.53291
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	3/15/2016	\$ 3.95000	\$ 0.53562
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	4/15/2016	\$ 3.90000	\$ 0.52884
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	4/15/2016	\$ 29.93000	\$ 18.85590
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	5/15/2016	\$ 3.78000	\$ 0.51257
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	6/15/2016	\$ 3.78000	\$ 0.51257
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	7/15/2016	\$ 3.77000	\$ 0.51121
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	8/15/2016	\$ 3.77000	\$ 0.51121
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	9/15/2016	\$ 3.76000	\$ 0.50986
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	10/15/2016	\$ 3.78000	\$ 0.51257
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	11/15/2016	\$ 3.79000	\$ 0.51392
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 5	27-0349232	18387D460	18387D478				GIIC 005	12/15/2016	\$ 3.81000	\$ 0.51664
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	1/15/2016	\$ 3.95000	\$ 0.79830
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	2/15/2016	\$ 3.95000	\$ 0.79830
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	3/15/2016	\$ 3.98000	\$ 0.80436
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	4/15/2016	\$ 3.91000	\$ 0.79021
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	4/15/2016	\$ 46.95000	\$ 38.32998
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	5/15/2016	\$ 3.75000	\$ 0.75788
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	6/15/2016	\$ 3.80000	\$ 0.76798
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	7/15/2016	\$ 3.78000	\$ 0.76394
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	8/15/2016	\$ 3.75000	\$ 0.75788
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	9/15/2016	\$ 3.73000	\$ 0.75383
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	10/15/2016	\$ 3.72000	\$ 0.75181
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	11/15/2016	\$ 3.72000	\$ 0.75181
CLAYMORE GUGGENHEIM INTERMEDIATE INVEST-GRADE CORPTR SER 6	27-0602716	18387F192	18387F218				GIIC 006	12/15/2016	\$ 3.74000	\$ 0.75585
CLAYMORE GUGGENHEIM INTERMEDIATE INVESTMENT GRCORP TR SR 7	27-0671906	18387F309	18387F317				GIIC007	1/15/2016	\$ 3.73000	

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GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES7	27-4079179	40167R766	40167R774							GSNY 007	1/15/2016	\$	0.33000	\$	0.33000
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	1/15/2016	\$	3.45000	\$	0.31430
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	2/15/2016	\$	3.43000	\$	0.31247
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	3/15/2016	\$	3.45000	\$	0.31430
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	4/15/2016	\$	3.43000	\$	0.31247
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	5/15/2016	\$	3.42000	\$	0.31156
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	6/15/2016	\$	3.42000	\$	0.31156
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	7/15/2016	\$	3.42000	\$	0.31156
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	8/15/2016	\$	3.43000	\$	0.31247
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	9/15/2016	\$	3.44000	\$	0.31338
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	10/15/2016	\$	3.41000	\$	0.31065
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	11/15/2016	\$	3.42000	\$	0.31156
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TR SER28	27-4425800	40167D387	40167D395							GIIC 028	12/15/2016	\$	3.47000	\$	0.31612
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES16	35-2447387	40167U629	40167U637							GSNY 016	2/15/2016	\$	34.63000	\$	34.14518
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES16	35-2447387	40167U629	40167U637							GSNY 016	6/15/2016	\$	26.76000	\$	26.38536
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES16	35-2447387	40167U629	40167U637							GSNY 016	10/15/2016	\$	103.46000	\$	102.01156
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES16	35-2447387	40167U629	40167U637							GSNY 016	11/15/2016	\$	60.81000	\$	59.95866
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	1/15/2016	\$	26.79000	\$	26.60783
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	2/15/2016	\$	26.16000	\$	25.98211
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	3/15/2016	\$	14.99000	\$	14.88807
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	8/15/2016	\$	33.26000	\$	33.03383
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	10/15/2016	\$	76.05000	\$	75.53286
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES17	35-2449262	40166E501	40166E519							GSNY 017	11/15/2016	\$	36.34000	\$	36.09289
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES18	35-2451308	40166E683	40166E691							GSNY 018	1/15/2016	\$	36.62000	\$	35.87661
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES18	35-2451308	40166E683	40166E691							GSNY 018	2/15/2016	\$	4.31000	\$	4.22251
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES18	35-2451308	40166E683	40166E691							GSNY 018	3/15/2016	\$	6.58000	\$	6.44643
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES18	35-2451308	40166E683	40166E691							GSNY 018	6/15/2016	\$	16.89000	\$	16.54713
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES18	35-2451308	40166E683	40166E691							GSNY 018	10/15/2016	\$	102.21000	\$	100.13514
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES19	35-2453345	40166F540	40166F557							GSNY 019	1/15/2016	\$	43.27000	\$	42.36133
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES19	35-2453345	40166F540	40166F557							GSNY 019	10/15/2016	\$	59.28000	\$	58.03512
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	1/15/2016	\$	1.76000	\$	0.01514
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	1/15/2016	\$	40.40000	\$	40.40000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	2/15/2016	\$	1.74000	\$	0.01496
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	3/15/2016	\$	1.75000	\$	0.01505
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	4/15/2016	\$	1.75000	\$	0.01505
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	5/15/2016	\$	1.75000	\$	0.01505
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	6/15/2016	\$	1.76000	\$	0.01514
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	7/15/2016	\$	1.76000	\$	0.01514
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	8/15/2016	\$	1.65000	\$	0.01419
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	8/15/2016	\$	34.74000	\$	34.74000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	9/15/2016	\$	1.58000	\$	0.01359
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	10/15/2016	\$	1.38000	\$	0.01187
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	10/15/2016	\$	75.87000	\$	75.87000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	11/15/2016	\$	1.03000	\$	0.00886
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES20	35-2453455	40166G449	40166G456							GSNY 020	12/15/2016	\$	1.06000	\$	0.00912
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	1/1/2016	\$	0.01699	\$	0.00022
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	2/1/2016	\$	0.01671	\$	0.00022
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	2/1/2016	\$	0.08650	\$	0.08650
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	3/1/2016	\$	0.01662	\$	0.00021
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	4/1/2016	\$	0.01651	\$	0.00021
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	5/1/2016	\$	0.01651	\$	0.00021
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	5/1/2016	\$	0.02401	\$	0.02401
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	6/1/2016	\$	0.01608	\$	0.00021
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	6/1/2016	\$	0.14886	\$	0.14886
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	7/1/2016	\$	0.01587	\$	0.00020
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	7/1/2016	\$	0.08067	\$	0.08067
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	8/1/2016	\$	0.01556	\$	0.00020
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	8/1/2016	\$	0.11243	\$	0.11243
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	9/1/2016	\$	0.01524	\$	0.00020
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	9/1/2016	\$	0.05950	\$	0.05950
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	10/1/2016	\$	0.01494	\$	0.00019
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	10/1/2016	\$	0.14926	\$	0.14926
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	11/1/2016	\$	0.01420	\$	0.00018
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	11/1/2016	\$	0.18504	\$	0.18504
GNMA PORTFOLIO, SERIES 19	35-2456889	40166G183	40166G191							DGNMA19	12/1/2016	\$	0.01419	\$	0.00018
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	1/15/2016	\$	2.33000	\$	0.19852
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	2/15/2016	\$	2.26000	\$	0.19255
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	3/15/2016	\$	2.08000	\$	0.17722
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	4/15/2016	\$	2.10000	\$	0.17892
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	5/15/2016	\$	1.98000	\$	0.16870
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	5/15/2016	\$	4.64000	\$	4.64000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	6/15/2016	\$	1.83000	\$	0.15592
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	7/15/2016	\$	1.82000	\$	0.15506
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	8/15/2016	\$	1.83000	\$	0.15592
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	9/15/2016	\$	1.84000	\$	0.15677
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	10/15/2016	\$	1.74000	\$	0.14825
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	10/15/2016	\$	33.67000	\$	33.67000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	11/15/2016	\$	1.56000	\$	0.13291
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES21	35-2459421	40167V783	40167V791							GSNY 021	12/15/2016	\$	1.58000	\$	0.13462
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES22	35-2463538	40166I708	40166I716							GSNY 022	1/15/2016	\$	1.85000	\$	0.20683
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES22	35-2463538	40166I708	40166I716							GSNY 022	2/15/2016	\$	1.84000	\$	0.20571
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES22	35-2463538	40166I708	40166I716							GSNY 022	3/15/				

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GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES41	35-2532546	40169N268	40169N276				GSNY 041	11/15/2016	\$	4.80000	\$	0.39600
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES41	35-2532546	40169N268	40169N276				GSNY 041	12/15/2016	\$	4.85000	\$	0.40013
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	4/15/2016	\$	0.02220	\$	0.00303
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	5/15/2016	\$	0.06760	\$	0.00924
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	6/15/2016	\$	0.04570	\$	0.00625
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	8/15/2016	\$	0.01170	\$	0.00160
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	9/15/2016	\$	0.02280	\$	0.00312
Guggenheim European Capital Strength Portfolio, Series 7	35-2532549	40169M146	40169M153	40169M179	40169M161		ECAP 007	12/15/2016	\$	0.00230	\$	0.00031
EUROPEAN CAPITAL STRENGTH & HEDGED CURRENCY PORTFOLIO SERIES 2	35-2532596	40169P826	40169P834	40169P859	40169P842		ECSH 002	1/15/2016	\$	0.15300	\$	0.07159
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	3/15/2016	\$	0.40870	\$	0.40870
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	4/15/2016	\$	0.01660	\$	0.00058
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	5/15/2016	\$	0.12690	\$	0.00447
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	6/15/2016	\$	0.06450	\$	0.00227
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	8/15/2016	\$	0.04160	\$	0.00146
European High Dividend Portfolio, Series 4	35-2534242	40169P107	40169P115	40169P131	40169P123		EUHD 004	12/15/2016	\$	0.01360	\$	0.00048
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	1/15/2016	\$	5.46000	\$	0.40513
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	2/15/2016	\$	5.45000	\$	0.40439
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	3/15/2016	\$	5.31000	\$	0.39400
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	3/15/2016	\$	13.67000	\$	13.67000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	4/15/2016	\$	5.08000	\$	0.37694
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	5/15/2016	\$	4.81000	\$	0.35690
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	5/15/2016	\$	50.39000	\$	50.39000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	6/15/2016	\$	4.81000	\$	0.35690
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	7/15/2016	\$	4.81000	\$	0.35690
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	8/15/2016	\$	4.84000	\$	0.35913
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	9/15/2016	\$	4.80000	\$	0.35616
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	10/15/2016	\$	4.81000	\$	0.35690
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	11/15/2016	\$	4.80000	\$	0.35616
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES42	35-2536987	40170A347	40170A354				GSNY 042	12/15/2016	\$	4.83000	\$	0.35839
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	1/15/2016	\$	3.20000	\$	0.82848
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	2/15/2016	\$	3.22000	\$	0.83366
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	3/15/2016	\$	3.24000	\$	0.83884
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	4/15/2016	\$	3.22000	\$	0.83366
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	5/15/2016	\$	3.23000	\$	0.83625
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	6/15/2016	\$	3.17000	\$	0.82071
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	7/15/2016	\$	3.16000	\$	0.81812
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	8/15/2016	\$	3.18000	\$	0.82330
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	9/15/2016	\$	3.16000	\$	0.81812
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	10/15/2016	\$	3.17000	\$	0.82071
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	11/15/2016	\$	3.17000	\$	0.82071
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 1	35-2538995	40170A768	40170A784				GIGS 001	12/15/2016	\$	3.17000	\$	0.82071
EUROPEAN CAPITAL STRENGTH & HEDGED CURRENCY PORTFOLIO, SERIES 3	35-2539080	40169X506	40169X514	40169X530	40169X522		ECSH 003	1/15/2016	\$	0.16130	\$	0.10643
EUROPEAN SMALL-CAP CAPITAL STRENGTH PORTFOLIO, SERIES 4	35-2541339	40170A685	40170A693	40170A719	40170A701		EUSC 004	6/15/2016	\$	0.01130	\$	0.01130
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	1/15/2016	\$	5.82000	\$	0.66697
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	2/15/2016	\$	5.83000	\$	0.66812
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	3/15/2016	\$	5.83000	\$	0.66812
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	4/15/2016	\$	5.83000	\$	0.66812
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	5/15/2016	\$	5.83000	\$	0.66812
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	6/15/2016	\$	5.61000	\$	0.64291
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	6/15/2016	\$	48.84000	\$	48.84000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	7/15/2016	\$	5.58000	\$	0.63947
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	8/15/2016	\$	5.43000	\$	0.62278
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	8/15/2016	\$	46.31000	\$	46.31000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	9/15/2016	\$	5.32000	\$	0.60967
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	10/15/2016	\$	5.32000	\$	0.60967
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	10/15/2016	\$	49.49000	\$	49.49000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	11/15/2016	\$	4.98000	\$	0.57071
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES43	35-2544607	40169Y546	40169Y553				GSNY 043	12/15/2016	\$	5.01000	\$	0.57415
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 8	35-2544671	40170B501	40170B519	40170B535	40170B527		ECAP 008	5/15/2016	\$	0.07830	\$	0.01102
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 8	35-2544671	40170B501	40170B519	40170B535	40170B527		ECAP 008	6/15/2016	\$	0.05340	\$	0.00751
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 8	35-2544671	40170B501	40170B519	40170B535	40170B527		ECAP 008	7/15/2016	\$	0.01920	\$	0.00270
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 8	35-2544671	40170B501	40170B519	40170B535	40170B527		ECAP 008	10/15/2016	\$	0.01490	\$	0.00210
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 8	35-2544671	40170B501	40170B519	40170B535	40170B527		ECAP 008	12/15/2016	\$	0.00940	\$	0.00132
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	1/15/2016	\$	3.27000	\$	0.52353
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	2/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	3/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	4/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	5/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	6/15/2016	\$	3.27000	\$	0.52353
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	7/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	8/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	9/15/2016	\$	3.27000	\$	0.52353
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	10/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	11/15/2016	\$	3.28000	\$	0.52513
GUGGENHEIM SELECT QUALITY MUNICIPAL PORTFOLIO SERIES 4	35-2544707	40169Y652	40169Y660				GSQM 004	12/15/2016	\$	3.30000	\$	0.52833
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES44	35-2548731	40170C707	40170C715				GSNY 044	5/15/2016	\$	35.04000	\$	34.87882
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES44	35-2548731	40170C707	40170C715				GSNY 044	6/15/2016	\$	46.69000	\$	46.47523
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES44	35-2548731	40170C707	40170C715				GSNY 044	7/15/2016	\$	37.45000	\$	37.27773
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES44	35-2548731	40170C707	40170C715				GSNY 044	10/15/2016	\$	50.97000	\$	50.73554
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	2/15/2016	\$	0.73000	\$	0.30076
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	3/15/2016	\$	3.14000	\$	1.29368
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	4/15/2016	\$	3.15000	\$	1.29780
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	5/15/2016	\$	3.13000	\$	1.28956
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	5/15/2016	\$	44.55000	\$	44.55000
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 2	35-2548844	40170X503	40170X511				GIGS 002	6/15/				

GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 3	35-2551141	40170C764	40170C772				GIGS 003	8/15/2016	\$	3.18000	\$	1.62085
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 3	35-2551141	40170C764	40170C772				GIGS 003	9/15/2016	\$	3.18000	\$	1.62085
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 3	35-2551141	40170C764	40170C772				GIGS 003	10/15/2016	\$	3.18000	\$	1.62085
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 3	35-2551141	40170C764	40170C772				GIGS 003	11/15/2016	\$	3.18000	\$	1.62085
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 3	35-2551141	40170C764	40170C772				GIGS 003	12/15/2016	\$	3.23000	\$	1.64633
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	4/15/2016	\$	1.60000	\$	1.04816
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	5/15/2016	\$	3.21000	\$	2.10287
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	5/15/2016	\$	45.39000	\$	45.39000
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	6/15/2016	\$	3.12000	\$	2.04391
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	7/15/2016	\$	3.12000	\$	2.04391
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	8/15/2016	\$	3.13000	\$	2.05046
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	9/15/2016	\$	3.13000	\$	2.05046
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	10/15/2016	\$	3.14000	\$	2.05701
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	11/15/2016	\$	3.15000	\$	2.06357
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 4	35-2554403	40170X867	40170X875				GIGS 004	12/15/2016	\$	3.16000	\$	2.07012
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	5/15/2016	\$	2.01000	\$	1.60740
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	6/15/2016	\$	3.36000	\$	2.68699
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	7/15/2016	\$	3.37000	\$	2.69499
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	8/15/2016	\$	3.37000	\$	2.69499
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	9/15/2016	\$	3.37000	\$	2.69499
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	10/15/2016	\$	3.37000	\$	2.69499
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	11/15/2016	\$	3.36000	\$	2.68699
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 5	35-2558618	40171J347	40171J354				GIGS 005	12/15/2016	\$	3.39000	\$	2.71098
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO, SERIES 10	35-2558632	40171J107	40171J115	40171J131	40171J123		ECAP 010	7/15/2016	\$	0.01730	\$	0.00940
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO, SERIES 10	35-2558632	40171J107	40171J115	40171J131	40171J123		ECAP 010	8/15/2016	\$	0.03330	\$	0.01809
GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO, SERIES 10	35-2558632	40171J107	40171J115	40171J131	40171J123		ECAP 010	10/15/2016	\$	0.01410	\$	0.00766
EUROPEAN HIGH DIVIDEND PORTFOLIO, SERIES 6	35-2560639	40171J842	40171J859	40171J875	40171J867		EUHD 006	9/15/2016	\$	0.01290	\$	0.00670
EUROPEAN HIGH DIVIDEND PORTFOLIO, SERIES 6	35-2560639	40171J842	40171J859	40171J875	40171J867		EUHD 006	10/15/2016	\$	0.02770	\$	0.01438
EUROPEAN HIGH DIVIDEND PORTFOLIO, SERIES 6	35-2560639	40171J842	40171J859	40171J875	40171J867		EUHD 006	12/15/2016	\$	0.01200	\$	0.00623
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	6/15/2016	\$	0.03390	\$	0.00030
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	7/15/2016	\$	0.03660	\$	0.00033
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	8/15/2016	\$	0.03680	\$	0.00033
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	9/15/2016	\$	0.04480	\$	0.00040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	10/15/2016	\$	0.04480	\$	0.00040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	11/15/2016	\$	0.04470	\$	0.00040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	12/15/2016	\$	0.04660	\$	0.00040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 39	35-2560655	40171K260	40171K278	40171K294	40171K286		PREF 039	12/30/2016	\$	0.00900	\$	0.00008
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	6/15/2016	\$	2.52000	\$	1.33988
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	7/15/2016	\$	5.41000	\$	2.87650
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	8/15/2016	\$	5.42000	\$	2.88181
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	9/15/2016	\$	5.41000	\$	2.87650
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	10/15/2016	\$	5.42000	\$	2.88181
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	11/15/2016	\$	5.41000	\$	2.87650
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES45	35-2560707	40170X529	40170X537				GSHY 045	12/15/2016	\$	5.44000	\$	2.89245
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	7/15/2016	\$	1.78000	\$	1.69064
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	8/15/2016	\$	3.35000	\$	3.18183
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	9/15/2016	\$	3.36000	\$	3.19133
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	10/15/2016	\$	3.36000	\$	3.19133
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	11/15/2016	\$	3.36000	\$	3.19133
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 6	35-2563655	40171K229	40171K237				GIGS 006	12/15/2016	\$	3.39000	\$	3.21982
CHAPIN DAVIS SELECT 25, SERIES 1	35-2563700	40171H184	40171H192	40171H218	40171H200		CDSE 001	8/15/2016	\$	0.01210	\$	0.01122
CHAPIN DAVIS SELECT 25, SERIES 1	35-2563700	40171H184	40171H192	40171H218	40171H200		CDSE 001	9/15/2016	\$	0.02090	\$	0.01938
CHAPIN DAVIS SELECT 25, SERIES 1	35-2563700	40171H184	40171H192	40171H218	40171H200		CDSE 001	10/15/2016	\$	0.01140	\$	0.01057
CHAPIN DAVIS SELECT 25, SERIES 1	35-2563700	40171H184	40171H192	40171H218	40171H200		CDSE 001	11/15/2016	\$	0.01230	\$	0.01140
CHAPIN DAVIS SELECT 25, SERIES 1	35-2563700	40171H184	40171H192	40171H218	40171H200		CDSE 001	12/15/2016	\$	0.02320	\$	0.02151
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 7	35-2568686	40171K245	40171K252				GIGS 007	9/15/2016	\$	2.27000	\$	2.27000
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 7	35-2568686	40171K245	40171K252				GIGS 007	10/15/2016	\$	3.11000	\$	3.11000
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 7	35-2568686	40171K245	40171K252				GIGS 007	11/15/2016	\$	3.12000	\$	3.12000
GUGGENHEIM INVESTMENT GRADE CORP TRUST 3-7 YEAR SERIES 7	35-2568686	40171K245	40171K252				GIGS 007	12/15/2016	\$	3.14000	\$	3.14000
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	9/15/2016	\$	0.02030	\$	0.00214
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	10/15/2016	\$	0.04180	\$	0.00441
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	11/15/2016	\$	0.04270	\$	0.00450
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	12/15/2016	\$	0.04240	\$	0.00447
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	12/16/2016	\$	0.00320	\$	0.00034
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 40	35-2568721	40171L664	40171L672	40171L698	40171L680		PREF 040	12/30/2016	\$	0.01370	\$	0.00144
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES46	35-2568725	40171H226	40171H234				GSHY 046	10/15/2016	\$	4.36000	\$	3.63711
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES46	35-2568725	40171H226	40171H234				GSHY 046	11/15/2016	\$	5.23000	\$	4.36287
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES46	35-2568725	40171H226	40171H234				GSHY 046	12/15/2016	\$	5.26000	\$	4.38789
GUGGENHEIM INVESTMENT GRADE CORPORATE TRUST 5-8 YEAR, SERIES 1	35-2571316	40171L623	40171L631				GIGI 001	10/15/2016	\$	1.97000	\$	1.81378
GUGGENHEIM INVESTMENT GRADE CORPORATE TRUST 5-8 YEAR, SERIES 1	35-2571316	40171L623	40171L631				GIGI 001	11/15/2016	\$	3.31000	\$	3.04752
GUGGENHEIM INVESTMENT GRADE CORPORATE TRUST 5-8 YEAR, SERIES 1	35-2571316	40171L623	40171L631				GIGI 001	12/15/2016	\$	3.34000	\$	3.07514
Guggenheim European Capital Strength Portfolio, Series 12	35-2571387	40171N504	40171N512	40171N538	40171N520		ECAP 012	12/16/2016	\$	0.01920	\$	0.01266
Guggenheim European Capital Strength Portfolio, Series 12	35-2571387	40171N504	40171N512	40171N538	40171N520		ECAP 012	12/30/2016	\$	0.00060	\$	0.00040
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 41	35-2574319	40171M845	40171M852	40171M878	40171M860		PREF 041	12/15/2016	\$	0.04170	\$	0.00750
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 41	35-2574319	40171M845	40171M852	40171M878	40171M860		PREF 041	12/30/2016	\$	0.02800	\$	0.00503
VICKREYBROWN DOUBLE DOZEN STRATEGY PORTFOLIO, SERIES 1	35-7089890	40169G842	40169G859	40169G875	40169G867		VBDD 001	1/15/2016	\$	0.05200	\$	0.05200
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757				GIIC 029	1/15/2016	\$	3.61000	\$	0.46028
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757				GIIC 029	2/15/2016	\$	3.61000	\$	0.46028
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757				GIIC 029	3/15/2016	\$	3.60000	\$	0.45900
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757				GIIC 029	4/15/2016	\$	3.63000	\$	0.46283
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757				GIIC 029	5/15/2016	\$	3.62000	\$	0.46155
GUGGENHEIM INTERMEDIATE INVEST GRADE CORP TRUST SERIES 29	45-0831835	40167E740	40167E757									

GNMA PORTFOLIO SERIES 18	45-2710975	40167J467	40167J475				DGNMA018	11/1/2016	\$	0.20852	\$	0.20681
GNMA PORTFOLIO SERIES 18	45-2710975	40167J467	40167J475				DGNMA018	12/1/2016	\$	0.10793	\$	0.10704
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES8	45-3080645	40167D684	40167D692				GSHY 008	1/15/2016	\$	0.08000	\$	0.08000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES8	45-3080645	40167D684	40167D692				GSHY 008	2/15/2016	\$	0.07000	\$	0.07000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES8	45-3080645	40167D684	40167D692				GSHY 008	3/15/2016	\$	0.07000	\$	0.07000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES9	45-3357012	40167P265	40167P273				GSHY009	1/15/2016	\$	0.18000	\$	0.18000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES9	45-3357012	40167P265	40167P273				GSHY009	1/15/2016	\$	20.19000	\$	20.19000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES9	45-3357012	40167P265	40167P273				GSHY009	2/15/2016	\$	0.17000	\$	0.17000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES9	45-3357012	40167P265	40167P273				GSHY009	3/15/2016	\$	0.17000	\$	0.17000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	1/15/2016	\$	0.98000	\$	0.07566
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	2/15/2016	\$	0.97000	\$	0.07488
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	3/15/2016	\$	0.98000	\$	0.07566
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	4/15/2016	\$	0.87000	\$	0.06716
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	4/15/2016	\$	37.96000	\$	37.96000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	5/15/2016	\$	0.57000	\$	0.04400
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	5/15/2016	\$	39.25000	\$	39.25000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	6/15/2016	\$	0.54000	\$	0.04169
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	7/15/2016	\$	0.54000	\$	0.04169
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	8/15/2016	\$	0.54000	\$	0.04169
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	9/15/2016	\$	0.54000	\$	0.04169
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES11	45-3861829	40167P687	40167P695				GSHY 011	10/15/2016	\$	0.55000	\$	0.04246
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES12	45-4265481	40167Q826	40167Q834				GSHY 012	2/15/2016	\$	38.30000	\$	37.99360
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES12	45-4265481	40167Q826	40167Q834				GSHY 012	5/15/2016	\$	89.64000	\$	88.92288
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES12	45-4265481	40167Q826	40167Q834				GSHY 012	7/15/2016	\$	51.67000	\$	51.25664
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES12	45-4265481	40167Q826	40167Q834				GSHY 012	10/15/2016	\$	38.50000	\$	38.19200
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	1/15/2016	\$	2.16000	\$	0.06782
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	2/15/2016	\$	2.16000	\$	0.06782
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	3/15/2016	\$	2.16000	\$	0.06782
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	4/15/2016	\$	2.15000	\$	0.06751
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	5/15/2016	\$	2.05000	\$	0.06437
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	5/15/2016	\$	32.71000	\$	32.71000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	6/15/2016	\$	1.97000	\$	0.06186
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	7/15/2016	\$	1.96000	\$	0.06154
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	8/15/2016	\$	1.82000	\$	0.05715
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	8/15/2016	\$	30.83000	\$	30.83000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	9/15/2016	\$	1.76000	\$	0.05526
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	10/15/2016	\$	1.76000	\$	0.05526
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	10/15/2016	\$	30.01000	\$	30.01000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	11/15/2016	\$	1.45000	\$	0.04553
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	11/15/2016	\$	38.74000	\$	38.74000
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES13	45-4440018	40166A145	40166A152				GSHY 013	12/15/2016	\$	1.26000	\$	0.03956
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES14	45-4977133	40166C745	40166C752				GSHY 014	6/15/2016	\$	26.88000	\$	26.79667
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES14	45-4977133	40166C745	40166C752				GSHY 014	7/15/2016	\$	2.25000	\$	2.24303
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES14	45-4977133	40166C745	40166C752				GSHY 014	10/15/2016	\$	70.92000	\$	70.70015
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST, SERIES14	45-4977133	40166C745	40166C752				GSHY 014	11/15/2016	\$	23.08000	\$	23.00845
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	2/15/2016	\$	23.82000	\$	23.50319
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	5/15/2016	\$	22.91000	\$	22.60530
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	6/15/2016	\$	34.64000	\$	34.17929
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	8/15/2016	\$	35.90000	\$	35.42253
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	10/15/2016	\$	53.20000	\$	52.49244
GUGGENHEIM SHORT DURATION HIGH YIELD TRUST SERIES15	45-5175678	40166D701	40166D719				GSHY 015	11/15/2016	\$	25.53000	\$	25.19045
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 15	51-0449681	18384P193					GNMA015	9/1/2016	\$	0.02153	\$	0.02153
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 15	51-0449681	18384P193					GNMA015	12/1/2016	\$	0.02217	\$	0.02217
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	2/15/2016	\$	0.00027	\$	0.00027
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	3/15/2016	\$	0.00056	\$	0.00056
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	4/15/2016	\$	0.00475	\$	0.00475
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	5/15/2016	\$	0.00401	\$	0.00401
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	7/15/2016	\$	0.00326	\$	0.00326
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	8/15/2016	\$	0.00070	\$	0.00070
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	9/15/2016	\$	0.00059	\$	0.00059
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	10/15/2016	\$	0.00331	\$	0.00331
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	11/15/2016	\$	0.00088	\$	0.00088
CLAYMORE SECURITIES DEFINED PORTFOLIOS GNMA SER 16	55-0884783	18384O222					DGNMA16	12/15/2016	\$	0.00395	\$	0.00395

Any tax advice included in this written communication was not intended or written to be used, and it cannot be used by the taxpayer, for the purpose of avoiding any penalties that may be imposed by any governmental taxing authority or agency.

Report of Organizational Actions Affecting Basis of Securities

OMB No. 1545-2224

► See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)	
SEE APPENDIX B		SEE APPENDIX B	
3 Name of contact for additional information	4 Telephone No. of contact	5 Email address of contact	
BNY MELLON, TRUSTEE	866-568-8985	BNYM.UIT.ETF.Tax@bnymellon.com	
6 Number and street (or P.O. box if mail is not delivered to street address) of contact		7 City, town, or post office, state, and Zip code of contact	
P.O. BOX 455		EAST SYRACUSE, NY 13057	
8 Date of action		9 Classification and description	
SEE APPENDIX B		RETURN OF CAPITAL	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
SEE APPENDIX B	N/A	SEE APPENDIX B	N/A

Part II Organizational Action Attach additional statements if needed. See back of form for additional questions.

14 Describe the organizational action and, if applicable, the date of the action or the date against which shareholders' ownership is measured for the action ► DURING THE YEAR ENDED DECEMBER 31, 2016, THE ISSUER DISTRIBUTED AMOUNTS TO ITS UNITHOLDERS, A PORTION OR ALL OF WHICH CONSTITUTED A RETURN OF CAPITAL. SEE APPENDIX B FOR A COMPLETE LIST OF DISTRIBUTIONS AND RETURN OF CAPITAL AMOUNTS.

15 Describe the quantitative effect of the organizational action on the basis of the security in the hands of a U.S. taxpayer as an adjustment per share or as a percentage of old basis ► APPENDIX B PROVIDES THE TOTAL DISTRIBUTIONS PER UNIT, AS WELL AS THE RETURN OF CAPITAL PER UNIT, ON A DISTRIBUTION BY DISTRIBUTION BASIS. THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE TAXPAYER'S ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION.

16 Describe the calculation of the change in basis and the data that supports the calculation, such as the market values of securities and the valuation dates ► AN ANALYSIS OF THE ISSUER'S CURRENT AND ACCUMULATED EARNINGS AND PROFITS WAS PERFORMED IN ORDER TO DETERMINE THE PORTION OF THE DISTRIBUTION THAT CONSTITUTED A DIVIDEND AND THE PORTION THAT CONSTITUTED A RETURN OF CAPITAL.

Part II Organizational Action (continued)

17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based ►
 I.R.C. SECTION 301(c)(2)

18 Can any resulting loss be recognized? ► THE RETURN OF CAPITAL REPORTED SHOULD REDUCE THE ADJUSTED BASIS OF EACH UNIT HELD ON THE DATE OF THE DISTRIBUTION. UPON THE DISPOSITION OF ALL OR A PORTION OF THE UNITS, THE TAXPAYER SHOULD RECOGNIZE GREATER GAIN/LESS LOSS.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ►
 year THE REPORTABLE TAX YEAR OF THE DISTRIBUTIONS IS 2016.

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Signature ►

Date ►

Print your name ►

Title ►

Paid Preparer Use Only

Print/Type preparer's name
Baruch J. Cohen

Preparer's signature

Date

Check ☒ if self-employed

PTIN
P01269048

Firm's name ► DELOITTE TAX LLP

Firm's EIN ► 86-1065772

Firm's address ► 30 ROCKEFELLER PLAZA NEW YORK, NY 10112-0015

Phone no. 212-492-3600

Send Form 8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054

Issuer's Name	Issuer's EIN	Cusip 1	Cusip 2	Cusip 3	Cusip 4	Cusip 5	Ticker Symbol	Date of Corporate Action	Pay Rate per Unit	Per Unit Reduction of Taxpayer's Basis
MLP & ENERGY FUNDS PORTFOLIO, SERIES 6	35-2505191	40167X847	40167X854	40167X870	40167X862		MLP 006	1/15/2016	\$ 0.01900	\$ 0.00729
MLP & ENERGY FUNDS PORTFOLIO, SERIES 6	35-2505191	40167X847	40167X854	40167X870	40167X862		MLP 006	2/15/2016	\$ 0.05730	\$ 0.02197
MLP & ENERGY FUNDS PORTFOLIO, SERIES 6	35-2505191	40167X847	40167X854	40167X870	40167X862		MLP 006	3/15/2016	\$ 0.04200	\$ 0.05611
MLP & ENERGY FUNDS PORTFOLIO, SERIES 6	35-2505191	40167X847	40167X854	40167X870	40167X862		MLP 006	4/15/2016	\$ 0.01540	\$ 0.00991
MLP & ENERGY FUNDS PORTFOLIO, SERIES 6	35-2505191	40167X847	40167X854	40167X870	40167X862		MLP 006	5/15/2016	\$ 0.02660	\$ 0.01020
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	1/15/2016	\$ 0.04010	\$ 0.00437
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	2/15/2016	\$ 0.03800	\$ 0.00414
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	3/15/2016	\$ 0.02300	\$ 0.00251
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	4/15/2016	\$ 0.03490	\$ 0.00480
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	5/15/2016	\$ 0.03930	\$ 0.00428
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	6/15/2016	\$ 0.02680	\$ 0.00292
TOTAL INCOME PORTFOLIO, SERIES 3	35-2508147	40169A266	40169A274	40169A290	40169A282		TIP 003	7/15/2016	\$ 0.04110	\$ 0.00448
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	1/15/2016	\$ 0.04060	\$ 0.00476
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	2/15/2016	\$ 0.04490	\$ 0.00526
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	3/15/2016	\$ 0.02120	\$ 0.00248
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	4/15/2016	\$ 0.03490	\$ 0.00409
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	5/15/2016	\$ 0.03920	\$ 0.00459
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	6/15/2016	\$ 0.02010	\$ 0.00236
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	7/15/2016	\$ 0.03340	\$ 0.00391
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 9	35-2510472	40166G647	40166G654	40166G670	40166G662		TZIP 009	7/15/2016	\$ 0.08600	\$ 0.08600
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	1/15/2016	\$ 0.01510	\$ 0.01510
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	2/15/2016	\$ 0.01840	\$ 0.01840
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	4/15/2016	\$ 0.02070	\$ 0.02070
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	5/15/2016	\$ 0.01320	\$ 0.01320
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	5/15/2016	\$ 0.63510	\$ 0.00788
SPIN-OFF PORTFOLIO, SERIES 2	35-2510473	40166G720	40166G738	40166G753	40166G746		SPIN 002	7/15/2016	\$ 0.16850	\$ 0.00209
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	1/15/2016	\$ 0.02070	\$ 0.01447
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	2/15/2016	\$ 0.05660	\$ 0.03955
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	3/15/2016	\$ 0.04220	\$ 0.02949
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	4/15/2016	\$ 0.01730	\$ 0.01209
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	5/15/2016	\$ 0.02530	\$ 0.01768
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	6/15/2016	\$ 0.06540	\$ 0.04570
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	7/15/2016	\$ 0.01630	\$ 0.01139
MLP & ENERGY FUNDS PORTFOLIO, SERIES 7	35-2512814	40169A761	40169A779	40169A795	40169A787		MLP 007	8/15/2016	\$ 0.04920	\$ 0.03438
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	1/15/2016	\$ 0.05410	\$ 0.01360
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	2/15/2016	\$ 0.05150	\$ 0.01295
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	3/15/2016	\$ 0.05140	\$ 0.01292
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	4/15/2016	\$ 0.05040	\$ 0.01267
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	5/15/2016	\$ 0.04990	\$ 0.01254
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	6/15/2016	\$ 0.04990	\$ 0.01254
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	7/15/2016	\$ 0.05170	\$ 0.01300
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	8/15/2016	\$ 0.04950	\$ 0.01244
ALTERNATIVE INCOME PORTFOLIO, SERIES 3	35-2515100	40168M709	40168M717	40168M733	40168M725		AIP 003	9/15/2016	\$ 0.04810	\$ 0.01209
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	1/15/2016	\$ 0.05480	\$ 0.01111
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	2/15/2016	\$ 0.02250	\$ 0.00456
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	3/15/2016	\$ 0.06060	\$ 0.01229
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	4/15/2016	\$ 0.06670	\$ 0.01353
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	5/15/2016	\$ 0.02240	\$ 0.00544
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	6/15/2016	\$ 0.06620	\$ 0.01221
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	6/15/2016	\$ 0.08860	\$ 0.02441
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	7/15/2016	\$ 0.05350	\$ 0.01085
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	8/15/2016	\$ 0.02260	\$ 0.00458
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	8/15/2016	\$ 0.18200	\$ 0.05027
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	9/15/2016	\$ 0.05860	\$ 0.01188
FLAHERTY & CRUMRINE PREFERRED PORTFOLIO, SERIES 34	35-2517644	40168N426	40168N434	40168N459	40168N442		PREF 034	10/15/2016	\$ 0.05140	\$ 0.01042
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	1/15/2016	\$ 0.04670	\$ 0.01578
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	2/15/2016	\$ 0.03750	\$ 0.01268
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	3/15/2016	\$ 0.01530	\$ 0.01530
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	3/15/2016	\$ 0.03500	\$ 0.01183
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	4/15/2016	\$ 0.03350	\$ 0.01132
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	5/15/2016	\$ 0.03150	\$ 0.01065
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	6/15/2016	\$ 0.03150	\$ 0.01065
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	7/15/2016	\$ 0.03140	\$ 0.01061
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	8/15/2016	\$ 0.03070	\$ 0.01046
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	8/15/2016	\$ 0.03270	\$ 0.01270
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	9/15/2016	\$ 0.03040	\$ 0.01028
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR)SERIES 10	35-2517648	40168N509	40168N517	40168N533	40168N525		TZIP 010	10/15/2016	\$ 0.03030	\$ 0.01024
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	1/15/2016	\$ 0.04260	\$ 0.00995
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	2/15/2016	\$ 0.04050	\$ 0.00946
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	3/15/2016	\$ 0.03860	\$ 0.00901
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	4/15/2016	\$ 0.03840	\$ 0.00897
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	4/15/2016	\$ 0.05440	\$ 0.05440
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	5/15/2016	\$ 0.03890	\$ 0.00908
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	6/15/2016	\$ 0.03860	\$ 0.00901
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	7/15/2016	\$ 0.03980	\$ 0.00929
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	8/15/2016	\$ 0.03960	\$ 0.00925
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	9/15/2016	\$ 0.03800	\$ 0.00887
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	10/15/2016	\$ 0.03360	\$ 0.00872
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	11/15/2016	\$ 0.03710	\$ 0.00866
TOTAL INCOME PORTFOLIO, SERIES 4	35-2517697	40169E664	40169E672	40169E698	40169E680		TIP 004	12/15/2016	\$ 0.03670	\$ 0.00857
MLP & ENERGY FUNDS PORTFOLIO, SERIES 8	35-2517698	40169E540	40169E557	40169E573	40169E565		MLP 008	1/15/2016	\$ 0.05190	\$ 0.03429
MLP & ENERGY FUNDS PORTFOLIO, SERIES 8	35-2517698	40169E540	40169E							

MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	4/15/2016	\$	0.06720	\$	0.04169
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	5/15/2016	\$	0.08920	\$	0.05534
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	6/15/2016	\$	0.08550	\$	0.05304
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	7/15/2016	\$	0.08520	\$	0.05286
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	8/15/2016	\$	0.08480	\$	0.05261
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	9/15/2016	\$	0.08300	\$	0.05149
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	10/15/2016	\$	0.08250	\$	0.05118
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	11/15/2016	\$	0.08260	\$	0.05125
MLP & ENERGY FUNDS PORTFOLIO, SERIES 13	35-2551094	40170X461	40170X479	40170X495	40170X487		MLP 013	12/15/2016	\$	0.08260	\$	0.05125
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	5/15/2016	\$	0.07930	\$	0.01568
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	6/15/2016	\$	0.08040	\$	0.01590
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	7/15/2016	\$	0.08140	\$	0.01609
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	8/15/2016	\$	0.07890	\$	0.01560
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	9/15/2016	\$	0.07730	\$	0.01528
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	10/15/2016	\$	0.07740	\$	0.01530
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	11/15/2016	\$	0.07730	\$	0.01528
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	12/15/2016	\$	0.07630	\$	0.01508
ALTERNATIVE INCOME PORTFOLIO, SERIES 6	35-2554425	40171J362	40171J370	40171J396	40171J388		AIP 006	12/30/2016	\$	0.02630	\$	0.00520
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	6/15/2016	\$	0.04760	\$	0.02379
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	7/15/2016	\$	0.01350	\$	0.00675
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	8/15/2016	\$	0.01420	\$	0.00710
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	9/15/2016	\$	0.01460	\$	0.00730
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	10/15/2016	\$	0.01470	\$	0.00735
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	11/15/2016	\$	0.01380	\$	0.00690
BARROW AMERICAN TOP 40: MID-CAP PORTFOLIO SERIES 2	35-2558629	40170Q508	40170Q516	40170Q532	40170Q524		ATFT 002	12/15/2016	\$	0.01390	\$	0.00695
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	6/15/2016	\$	0.05920	\$	0.01136
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	7/15/2016	\$	0.06000	\$	0.01151
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	8/15/2016	\$	0.06280	\$	0.01205
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	9/15/2016	\$	0.05940	\$	0.01140
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	10/15/2016	\$	0.05780	\$	0.01109
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	11/15/2016	\$	0.05650	\$	0.01084
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES34	35-2558630	40170Q706	40170Q714	40170Q730	40170Q722		CZIP 034	12/15/2016	\$	0.05480	\$	0.01052
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	6/15/2016	\$	0.05810	\$	0.00979
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	7/15/2016	\$	0.05890	\$	0.00992
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	8/15/2016	\$	0.06160	\$	0.01038
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	9/15/2016	\$	0.05950	\$	0.01003
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	10/15/2016	\$	0.05670	\$	0.00972
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	11/15/2016	\$	0.05620	\$	0.00947
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	12/15/2016	\$	0.05430	\$	0.00915
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 16	35-2558631	40170Q748	40170Q755	40170Q771	40170Q763		TZIP 016	12/30/2016	\$	0.02770	\$	0.00467
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	7/15/2016	\$	0.05100	\$	0.03695
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	8/15/2016	\$	0.06780	\$	0.04913
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	9/15/2016	\$	0.06690	\$	0.04848
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	10/15/2016	\$	0.06610	\$	0.04790
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	11/15/2016	\$	0.06580	\$	0.04768
MLP & ENERGY FUNDS PORTFOLIO, SERIES 14	35-2560640	40171K146	40171K153	40171K179	40171K161		MLP 014	12/15/2016	\$	0.06500	\$	0.04710
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES035	35-2563683	40171H366	40171H374	40171H390	40171H382		CZIP 035	9/15/2016	\$	0.05940	\$	0.02201
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES035	35-2563683	40171H366	40171H374	40171H390	40171H382		CZIP 035	10/15/2016	\$	0.05810	\$	0.02153
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES035	35-2563683	40171H366	40171H374	40171H390	40171H382		CZIP 035	11/15/2016	\$	0.05480	\$	0.02030
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO, SERIES035	35-2563683	40171H366	40171H374	40171H390	40171H382		CZIP 035	12/15/2016	\$	0.05920	\$	0.02193
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 17	35-2563684	40171H408	40171H416	40171H432	40171H424		TZIP 017	9/15/2016	\$	0.05920	\$	0.01767
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 17	35-2563684	40171H408	40171H416	40171H432	40171H424		TZIP 017	10/15/2016	\$	0.05790	\$	0.01728
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 17	35-2563684	40171H408	40171H416	40171H432	40171H424		TZIP 017	11/15/2016	\$	0.05460	\$	0.01629
ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO (2-YEAR), SERIES 17	35-2563684	40171H408	40171H416	40171H432	40171H424		TZIP 017	12/15/2016	\$	0.05920	\$	0.01767
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	9/15/2016	\$	0.03320	\$	0.00474
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	10/15/2016	\$	0.04560	\$	0.00651
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	11/15/2016	\$	0.04540	\$	0.00648
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	12/15/2016	\$	0.04500	\$	0.00649
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	12/16/2016	\$	0.00080	\$	0.00011
Total Income Portfolio, Series 8	35-2563686	40171H762	40171H770	40171H796	40171H788		TIP 008	12/30/2016	\$	0.04670	\$	0.00666
MLP & ENERGY FUNDS PORTFOLIO, SERIES 15	35-2566422	40171L508	40171L516	40171L532	40171L524		MLP 015	10/15/2016	\$	0.03720	\$	0.02490
MLP & ENERGY FUNDS PORTFOLIO, SERIES 15	35-2566422	40171L508	40171L516	40171L532	40171L524		MLP 015	11/15/2016	\$	0.06680	\$	0.04472
MLP & ENERGY FUNDS PORTFOLIO, SERIES 15	35-2566422	40171L508	40171L516	40171L532	40171L524		MLP 015	12/15/2016	\$	0.06600	\$	0.04418
MLP & ENERGY FUNDS PORTFOLIO, SERIES 15	35-2566422	40171L508	40171L516	40171L532	40171L524		MLP 015	12/16/2016	\$	0.00290	\$	0.00194
MLP & ENERGY FUNDS PORTFOLIO, SERIES 15	35-2566422	40171L508	40171L516	40171L532	40171L524		MLP 015	12/30/2016	\$	0.01420	\$	0.00951
ALTERNATIVE INCOME PORTFOLIO, SERIES 7	35-2568747	40171M225	40171M233	40171M258	40171M241		AIP 007	11/15/2016	\$	0.07960	\$	0.02385
ALTERNATIVE INCOME PORTFOLIO, SERIES 7	35-2568747	40171M225	40171M233	40171M258	40171M241		AIP 007	12/15/2016	\$	0.07500	\$	0.02247
ALTERNATIVE INCOME PORTFOLIO, SERIES 7	35-2568747	40171M225	40171M233	40171M258	40171M241		AIP 007	12/30/2016	\$	0.05930	\$	0.01777
Zacks Income Advantage Strategy Portfolio, Series36	35-2571385	40171N348	40171N355	40171N371	40171N363		CZIP 036	12/15/2016	\$	0.04910	\$	0.02256
Zacks Income Advantage Strategy Portfolio, Series36	35-2571385	40171N348	40171N355	40171N371	40171N363		CZIP 036	12/30/2016	\$	0.01980	\$	0.00910
Zacks Income Advantage Strategy Portfolio (2-year), Series 18	35-2571386	40171N306	40171N314	40171N330	40171N322		TZIP 018	12/15/2016	\$	0.04980	\$	0.01904
Zacks Income Advantage Strategy Portfolio (2-year), Series 18	35-2571386	40171N306	40171N314	40171N330	40171N322		TZIP 018	12/30/2016	\$	0.01940	\$	0.00742
Income Advantage Strategy Portfolio, Series 27	36-2517647	40168N467	40168N475	40168N491	40168N483		CZIP 027	1/15/2016	\$	0.04540	\$	0.03214

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