

Core Four 60/40 Retirement Portfolio, Series 9

Investment Objective

The Core Four 60/40 Retirement Portfolio, Series 9 (Trust) seeks current income as the primary objective, with the potential for capital appreciation as a secondary objective.

Key Considerations

- **A 60/40 Blend:** To address the need for income while also managing risk and volatility, 60% of the Trust is comprised of dividend-paying and large-cap growth equities, and 40% is comprised of higher-yielding fixed income securities and investment grade bond ETFs.
- **Multi-Strategy Approach:** Provides exposure to four investment strategies that collectively seek to provide both attractive income and growth of principal.
- **Convenient Retirement Investing:** A convenient, easy-to-implement addition to a retirement portfolio that may help address the needs or concerns of individuals near or in retirement.

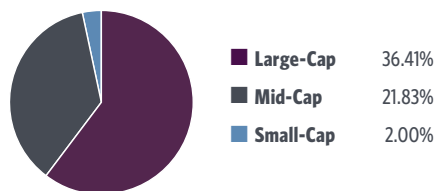
Portfolio Allocation

Breakdowns and weightings are as of 11.15.2019 and subject to change.

FIXED-INCOME SECTOR WEIGHTINGS

Corporate Investment Grade	7.96%
Floating Rate / Senior Loan	5.96%
Mortgage-Backed Securities	5.95%
International	4.00%
Commercial Mortgage-Backed Securities	3.98%
Inflation Protection	3.96%
Corporate High Yield	2.99%
Municipal High Yield	2.97%
Government Treasuries	1.99%
Fixed-Income ETF Total	39.76%

CAPITALIZATION BREAKDOWN¹

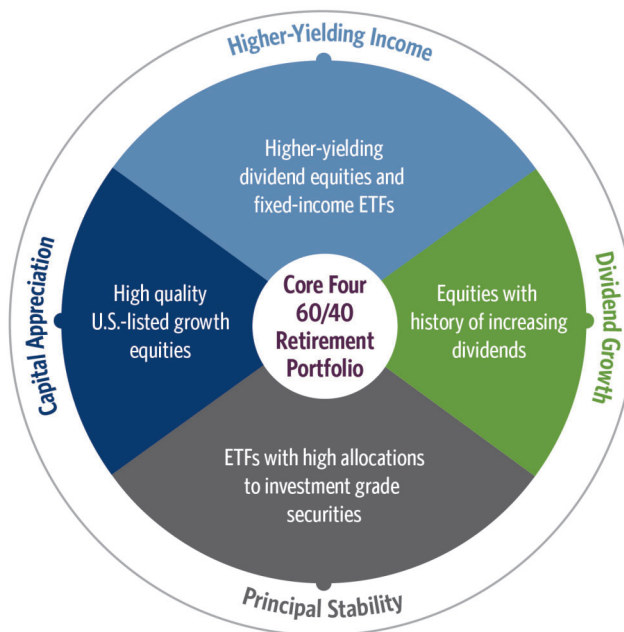


¹The above breakdown excludes fixed-income ETFs and represents 60.24% of the overall portfolio.

Your Portfolio Should Not Retire When You Do

Building a sustainable retirement portfolio is more challenging than ever. The combination of increasing health care costs and longer life expectancies have many investors concerned about outliving their money. Given the current low interest rate environment, an overly conservative fixed income portfolio may not be the answer. Guggenheim created the Core Four 60/40 Retirement Portfolio with these issues in mind. The strategy seeks to balance principal stability with three additional strategies, designed to help your retirement portfolio keep up with inflation and longer life expectancy.

The Core Four 60/40 Retirement Portfolio may represent an attractive supplement to a retirement strategy by investing in an approximately 60/40 blend of higher yielding and dividend grower stocks combined with fixed-income ETFs. The portfolio is professionally selected by Guggenheim to seek higher-yielding income, dividend growth, principal stability, and capital appreciation potential in a single easy-to-implement investment.

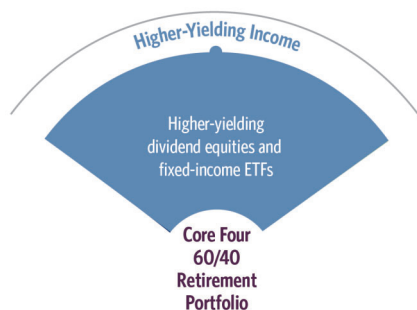


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Higher-Yielding Income

To provide income for daily needs

Approximately 30 percent of the Trust portfolio is focused on securities that seek to deliver an above average income stream, including higher dividend-yielding, U.S.-listed equity securities and higher-yielding fixed-income asset classes accessed through ETFs.



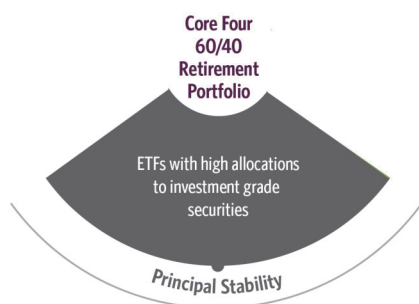
Name	Ticker
Common Stocks (19.90%)	
Communication Services (2.67%)	
Omnicom Group, Inc.	OMC
Verizon Communications, Inc.	VZ
Consumer Staples (3.96%)	
Archer-Daniels-Midland Company	ADM
General Mills, Inc.	GIS
Kellogg Company	K
Energy (2.66%)	
Kinder Morgan, Inc.	KMI
Williams Companies, Inc.	WMB
Financials (4.00%)	
MFA Financial, Inc.	MFA
PacWest Bancorp	PACW
Prudential Financial, Inc.	PRU
Health Care (1.33%)	
Gilead Sciences, Inc.	GILD

Name	Ticker
Industrials (1.32%)	
Macquarie Infrastructure Corporation	MIC
Information Technology (1.30%)	
International Business Machines Corporation	IBM
Real Estate (1.33%)	
Vornado Realty Trust	VNO
Utilities (1.33%)	
PPL Corporation	PPL
Exchange Traded Funds (9.96%)	
SPDR Bloomberg Barclays Short Term High Yield Bond ETF	SJNK
VanEck Vectors High-Yield Municipal Index ETF	HYD
VanEck Vectors J.P. Morgan EM Local Currency Bond ETF	EMLC
Total	29.86%

Principal Stability

To minimize volatility and risk

Approximately 30 percent of the Trust portfolio seeks to reduce potential volatility of the overall portfolio by investing in ETFs that hold at least 80 percent of their portfolios in investment grade securities.



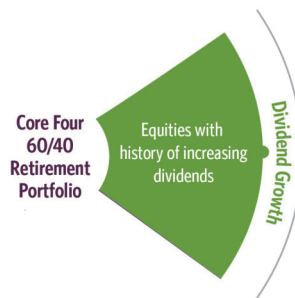
Name	Ticker
Exchange Traded Funds (29.80%)	
iShares CMBS ETF	CMBS
iShares MBS ETF	MBB
iShares Short-Term Corporate Bond ETF	IGSB
iShares TIPS Bond ETF	TIP
VanEck Vectors Investment Grade Floating Rate ETF	FLTR
Vanguard Short-Term Treasury ETF	VGSH
Total	29.80%

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Dividend Growth

For maintaining lifestyle during retirement

Approximately 20 percent of the Trust portfolio holds U.S.-listed equity companies that have shown the historical ability and willingness to increase their dividend distributions annually for a minimum number of years.



Name	Ticker	Name	Ticker
Communication Services (1.34%)		Industrials (3.33%)	
AT&T, Inc.	T	General Dynamics Corporation	GD
The Interpublic Group of Companies, Inc.	IPG	KAR Auction Services, Inc.	KAR
Consumer Discretionary (1.97%)		Republic Services, Inc.	RSG
McDonald's Corporation	MCD	Snap-on, Inc.	SNA
The Wendy's Company	WEN	Steelcase, Inc.	SCS
Vail Resorts, Inc.	MTN	Information Technology (1.31%)	
Consumer Staples (2.02%)		AVX Corporation	AVX
PepsiCo, Inc.	PEP	QUALCOMM, Inc.	QCOM
The Clorox Company	CLX	Materials (0.61%)	
Walgreens Boots Alliance, Inc.	WBA	Air Products & Chemicals, Inc.	APD
Energy (0.68%)		Real Estate (1.97%)	
Chevron Corporation	CVX	CoreSite Realty Corporation	COR
Financials (2.68%)		CyrusOne, Inc.	CONE
Old Republic International Corporation	ORI	Healthcare Trust of America, Inc.	HTA
Synovus Financial Corporation	SNV	Utilities (3.35%)	
The Travelers Companies, Inc.	TRV	Alliant Energy Corporation	LNT
United Community Banks, Inc.	UCBI	Eversource Energy	ES
Health Care (0.69%)		IDACORP, Inc.	IDA
Johnson & Johnson	JNJ	NextEra Energy, Inc.	NEE
		Southern Company	SO
		Total	19.95%

Capital Appreciation

To address concerns about outliving assets

Approximately 20 percent of the Trust portfolio seeks to provide growth of principal by investing in large-cap, U.S.-listed growth companies.



Name	Ticker	Name	Ticker
Communication Services (1.38%)		UnitedHealth Group, Inc.	UNH
Alphabet, Inc.	GOOGL	Industrials (1.92%)	
Facebook, Inc.	FB	Lockheed Martin Corporation	LMT
Consumer Discretionary (3.80%)		Union Pacific Corporation	UNP
Amazon.com, Inc.	AMZN	Waste Management, Inc.	WM
Booking Holdings, Inc.	BKNG	Information Technology (5.40%)	
NIKE, Inc.	NKE	Accenture PLC	ACN
Starbucks Corporation	SBUX	Apple, Inc.	AAPL
The TJX Companies, Inc.	TJX	Broadcom, Inc.	AVGO
Consumer Staples (1.34%)		Mastercard, Inc.	MA
Monster Beverage Corporation	MNST	Microsoft Corporation	MSFT
The Estee Lauder Companies, Inc.	EL	salesforce.com, inc.	CRM
Financials (1.38%)		ServiceNow, Inc.	NOW
Aon PLC	AON	Visa, Inc.	V
S&P Global, Inc.	SPGI	Materials (0.60%)	
Health Care (3.36%)		The Sherwin-Williams Company	SHW
AbbVie, Inc.	ABBV	Real Estate (1.21%)	
Alexion Pharmaceuticals, Inc.	ALXN	American Tower Corporation	AMT
Amgen, Inc.	AMGN	Equinix, Inc.	EQIX
Merck & Company, Inc.	MRK	Total	20.39%

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PORTFOLIO SUMMARY

Inception Date	11.18.2019
Termination Date	2.19.2021
Initial Offer Price	\$10.00
Number of Issues	84
Historical Annual Dividend Distribution ²	\$0.2748
Distributions	25th day of each month commencing on 12.25.2019, if any

TICKETING INFORMATION

CUSIP (cash payment)	40175D544
CUSIP (reinvestment accounts)	40175D551
CUSIP (fee-cash)	40175D569
CUSIP (fee-reinvest)	40175D577
Ticker	CCFOIX

SALES CHARGES

Sales Charge (S/C) is based on a \$10 per unit offering price.

Standard Accounts	Amount Per Unit	Percentage of Public Offering Price
Deferred S/C ³	\$0.135	1.35%
Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.185	1.85%

Fee/Wrap Accounts⁴

Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.050	0.50%

² The Historical Annual Dividend Distribution (HADD) is as of 11.15.2019 and subject to change. There is no guarantee the issuers of the securities included in the Trust will declare dividends or distributions in the future. The HADD of the securities included in the Trust is for illustrative purposes only and is not indicative of the Trust's distribution rate. The HADD is the weighted average of the trailing twelve-month distributions paid by the securities included in the portfolio and is reduced to account for the effects of fees and expenses, which will be incurred when investing in the Trust. The HADD will vary due to certain factors that may include, but are not limited to, a change in the dividends paid by issuers, a change in Trust expenses or the sale or maturity of securities in the portfolio.

³ The deferred sales charge (DSC) is a fixed amount and will be deducted in monthly installments on the last business day commencing March 2020 and ending May 2020 or upon early redemption. For unit prices other than \$10, percentages of C&D fees, and DSCs will vary but in no event will the maximum sales charge (S/C) exceed the total S/C. Early redemption of units will still cause payment of the DSC. However, an initial sales charge, which is equal to the difference between the maximum S/C and the sum of any remaining deferred S/C charges and C&D, will be charged if the price paid for units exceeds \$10 per unit.

⁴ For unit prices other than \$10, percentage of the C&D fee will vary.

The Core Four 60/40 Retirement Portfolio, Series 9 is a Unit Investment Trust.

RISK CONSIDERATIONS: As with all investments, you may lose some or all of your investment in the Trust. No assurance can be given that the Trust's investment objective will be achieved.

• Securities prices can be volatile. • Securities selected according to this strategy may not perform as intended. The investment decisions, including the selection methodologies used to determine the holdings of the four portfolio sleeves, may not produce the intended results and there is no guarantee that the investment objective will be achieved. • The Trust invests in shares of ETFs, which are subject to various risks, including management's ability to meet the fund's investment objective. Shares of ETFs may trade at a discount from their net asset value in the secondary market. This risk is separate and distinct from the risk that the net asset value of the ETF shares may decrease. You will bear not only your share of your trust's expenses, but also the expenses of the underlying ETFs. By investing in ETFs, the Trust incurs greater expenses than you would incur if you invested directly in the ETFs. • The ETFs are subject to annual fees and expenses, including a management fee. Unitholders of the Trust will bear these fees in addition to the fees and expenses of the Trust. • The Trust is subject to an ETF's index correlation risk. • The value of the fixed-income securities in the ETFs will generally fall if interest rates, in general, rise. The Trust may be subject to greater risk of rising interest rates than would normally be the case due to the current period of historically low rates. • An ETF or an issuer of securities held by an ETF may be unwilling or unable to make principal payments and/or to declare distributions in the future, may call a security before its stated maturity, or may reduce the level of distributions declared. Issuers may suspend distributions during the life of the Trust. This may result in a reduction in the value of your units. • The financial condition of an ETF or an issuer of securities held by an ETF may worsen, resulting in a reduction in the value of your units. This may occur at any point in time, including during the primary offering period. • Economic conditions may lead to limited liquidity and greater

volatility. • Certain ETFs held by the Trust invest in securities that are rated below investment-grade and are considered to be "junk" securities. Below investment-grade obligations are considered to be speculative and are subject to greater market and credit risks, and accordingly, the risk of non-payment or default is higher than with investment-grade securities. In addition, such securities may be more sensitive to interest rate changes and more likely to receive early returns of principal in falling rate environments. • Certain ETFs held by the Trust may invest in securities that are rated as investment-grade by only one rating agency. As a result, such split-rated securities may have more speculative characteristics and are subject to a greater risk of default than securities rated as investment-grade by more than one rating agency. • The Trust invests in securities issued by small- and mid-cap companies and certain ETFs held by the Trust may invest in securities issued by small- and mid-cap companies, which may have limited product lines, markets or financial resources and may be more vulnerable to adverse general market or economic developments. These securities customarily involve more investment risk than securities of large-capitalization companies. • The Trust invests in U.S.-listed foreign securities and certain ETFs held by the Trust invest in foreign securities. The Trust's investment in U.S.-listed foreign securities and certain ETFs held by the trust's investment in foreign securities presents additional risk. Securities of foreign issuers present risks beyond those of domestic securities. More specifically, foreign risk is the risk that foreign securities will be more volatile than U.S. securities due to such factors as adverse economic, currency, political, social or regulatory developments in a country, including government seizure of assets, excessive taxation, limitations on the use or transfer of assets, the lack of liquidity or regulatory controls with respect to certain industries or differing legal and/or accounting standards. • Certain ETFs held by the Trust include securities issued by companies headquartered or incorporated in countries considered to be emerging markets. Emerging markets are generally defined as countries with low per capita income in the initial stages of their industrialization cycles. Risks of investing in developing or emerging countries include the possibility of investment and trading limitations,

liquidity concerns, delays and disruptions in settlement transactions, political uncertainties and dependence on international trade and development assistance. Companies headquartered in emerging market countries may be exposed to greater volatility and market risk. • Share prices or dividend rates on the securities in the Trust may decline during the life of the Trust. • Inflation may lead to a decrease in the value of assets or income from investments. **Please see the Trust prospectus for more complete risk information.**

Unit Investment Trusts are fixed, not actively managed and should be considered as part of a long-term strategy. Investors should consider their ability to invest in successive portfolios, if available, at the applicable sales charge. UITs are subject to annual fund operating expenses in addition to the sales charge. Investors should consult an attorney or tax advisor regarding tax consequences associated with an investment from one series to the next, if available, and with the purchase or sale of units. Guggenheim Funds Distributors, LLC does not offer tax advice.

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Read the Trust's prospectus carefully before investing. It contains the Trust's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus at GuggenheimInvestments.com.

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