

Financials Portfolio, Series 14

Investment Objective

The Financials Portfolio, Series 14 (Trust) seeks to maximize total return primarily through capital appreciation.

Key Considerations

- **Focused Financial Sector Exposure:** Convenient exposure to the financial sector which includes banks, savings-and-loan companies, insurance companies, financial exchanges and financial data providers, investment funds, brokerages, and asset management firms.
- **Dividend Strength:** Financial stocks have increased their dividend each year by over 11% on average over the previous five years.¹
- **Attractive Valuations:** The financial industry, especially banks, should benefit from the changing regulatory environment, and regulatory easing should support a higher valuation of financials in general.

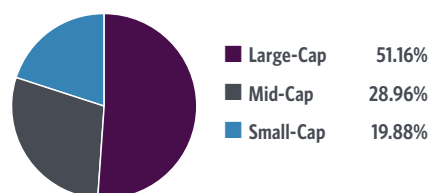
Past performance is not a guarantee of future results. There is no guarantee that the trends and projections noted above will continue or come to fruition and they are subject to change.

¹ As measured by the Russell 3000[®] Financial Services Index. Bloomberg, 10.31.2019.

Portfolio Allocation

Breakdown and weightings are as of 11.26.2019 and subject to change.

CAPITALIZATION BREAKDOWN



INDUSTRY BREAKDOWN

	Weight
Regional Banks	36.42%
Property & Casualty Insurance	14.51%
Financial Exchanges & Data	12.02%
Diversified Banks	11.48%
Insurance Brokers	5.98%
Asset Management & Custody Banks	4.60%
Investment Banking & Brokerage	4.07%
Life & Health Insurance	3.97%
Multi-line Insurance	2.49%
Consumer Finance	2.47%
Thrifts & Mortgage Finance	1.99%
Total	100.00%

Increased Total Return Potential with Financials

Companies that operate within the financial industry are highly regulated, which may result in transparency for investors and shareholders. Financial companies provide the potential for steady returns over time through the combination of capital appreciation and dividend payouts.

Historically, the financial sector has outperformed the broader market in a rising rate environment since rising rates generally mean increased revenue and revenue opportunities for many different types of financial institutions. Since the 2008 financial crisis, the financial sector has continued to strengthen, as shown in the chart below.

The Trust is diversified² across several industries including capital markets, banks, thrifts and mortgage finance, diversified financial services, and insurance.

TOTAL RETURN PERFORMANCE OF THE RUSSELL 3000[®] FINANCIAL SERVICES INDEX* (10.31.2004 - 10.31.2019)

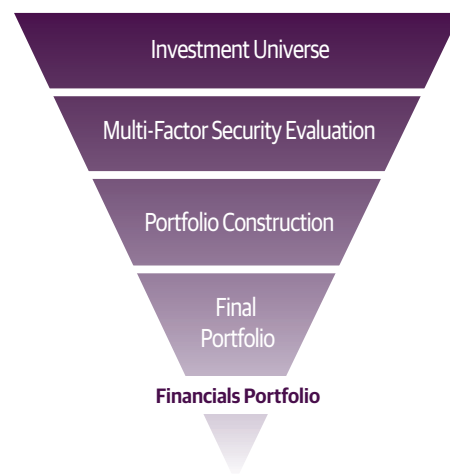


Source: Morningstar, 10.31.2019. ² Diversification neither assures a profit nor eliminates the risk of experiencing investment losses. **Past performance is no guarantee of future results.** The chart is for illustrative purposes only; it is not meant to forecast, imply or guarantee the future results of any Guggenheim Investments product. There is no guarantee that the trends and projections noted above will continue or come to fruition and they are subject to change. *The Russell 3000[®] Financial Services Index measures the performance of financial services companies within the Russell 3000[®] Index. Financial services consists of companies involved in banking, mortgage finance, consumer finance, specialized finance, investment banking and brokerage, asset management and custody, corporate lending, insurance, financial investments and real estate, including REITs. The Trust will not try to replicate the performance of the Index and will not necessarily invest any substantial portion of its assets in securities in the Index. Indices are unmanaged and it is not possible to invest directly in the indices.

Security Selection

In constructing the Trust's portfolio, the securities were selected based on the following criteria:

- **Investment Universe:** Begin with an initial universe of companies classified as being in the financial sector and are members of the Russell 3000[®] Index.**
- **Multi-Factor Security Evaluation:** Focus on quantitative screenings that include: valuation, growth, and profitability.
- **Portfolio Construction:** The portfolio is professionally evaluated and selected through additional qualitative analysis, such as: strong financial statements and industry leadership.
- **Final Portfolio:** The Financials Portfolio consists of a diversified portfolio of financial sector equity stocks.



** Index definition on next page.

Financials Portfolio, Series 14

PORTFOLIO SUMMARY

Inception Date	11.27.2019
Termination Date	11.29.2021
Initial Offer Price	\$10.00
Number of Issues	40
Historical Annual Dividend Distribution³	\$0.1797
Distributions	25th day of each month commencing on 12.25.2019, if any

³ The Historical Annual Dividend Distribution (HADD) is as of 11.26.2019 and subject to change. There is no guarantee the issuers of the securities included in the Trust will declare dividends or distributions in the future. The HADD of the securities included in the Trust is for illustrative purposes only and is not indicative of the Trust's distribution rate. The HADD is the weighted average of the trailing twelve-month distributions paid by the securities included in the portfolio and is reduced to account for the effects of fees and expenses, which will be incurred when investing in the Trust. The HADD will vary due to certain factors that may include, but are not limited to, a change in the dividends paid by issuers, a change in Trust expenses or the sale or maturity of securities in the portfolio.

TICKETING INFORMATION

CUSIP (cash payment)	40175E104
CUSIP (reinvestment accounts)	40175E112
CUSIP (fee-cash)	40175E120
CUSIP (fee-reinvest)	40175E138
Ticker	CFINNX

SALES CHARGES

Sales Charge (S/C) is based on a \$10 per unit offering price.

Standard Accounts	Amount Per Unit	Percentage of Public Offering Price
Deferred S/C⁴	\$0.225	2.25%
Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.275	2.75%
Fee/Wrap Accounts ⁵		
Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.050	0.50%

⁴ The deferred sales charge (DSC) is a fixed amount and will be deducted in monthly installments on the last business day commencing June 2020 and ending August 2020 or upon early redemption. For unit prices other than \$10, percentages of C&D fees, and DSCs will vary but in no event will the maximum sales charge (S/C) exceed the total S/C. Early redemption of units will still cause payment of the DSC. However, an initial sales charge, which is equal to the difference between the maximum S/C and the sum of any remaining deferred S/C charges and C&D, will be charged if the price paid for units exceeds \$10 per unit.

⁵ For unit prices other than \$10, percentage of the C&D fee will vary.

PORTFOLIO HOLDINGS

Holdings, breakdown, and weightings are as of 11.26.2019 and subject to change.

Symbol	Company Name
Asset Management & Custody Banks (4.60%)	
BLK	BlackRock, Inc.
NTRS	Northern Trust Corporation
Consumer Finance (2.47%)	
AXP	American Express Company
Diversified Banks (11.48%)	
BAC	Bank of America Corporation
C	Citigroup, Inc.
JPM	JPMorgan Chase & Company
USB	US Bancorp
Financial Exchanges & Data (12.02%)	
CME	CME Group, Inc.
ICE	Intercontinental Exchange, Inc.
NDAQ	Nasdaq, Inc.
SPGI	S&P Global, Inc.
Insurance Brokers (5.98%)	
AON	Aon PLC
AJG	Arthur J Gallagher & Company
Investment Banking & Brokerage (4.07%)	
GS	Goldman Sachs Group, Inc.
MS	Morgan Stanley
Life & Health Insurance (3.97%)	
MET	MetLife, Inc.
PRU	Prudential Financial, Inc.
Multi-line Insurance (2.49%)	
AIZ	Assurant, Inc.

Symbol	Company Name
Property & Casualty Insurance (14.51%)	
ALL	Allstate Corporation
CB	Chubb Limited
FAF	First American Financial Corporation
THG	Hanover Insurance Group, Inc.
ORI	Old Republic International Corporation
PGR	Progressive Corporation
Regional Banks (36.42%)	
AUB	Atlantic Union Bankshares Corporation
BANF	BancFirst Corporation
BANR	Banner Corporation
BBT	BB&T Corporation
CSFL	CenterState Bank Corporation
FITB	Fifth Third Bancorp
FRME	First Merchants Corporation
FMBI	First Midwest Bancorp, Inc.
FRC	First Republic Bank
GBCI	Glacier Bancorp, Inc.
KEY	KeyCorp
PNC	PNC Financial Services Group, Inc.
RF	Regions Financial Corporation
UCBI	United Community Banks, Inc.
WTFC	Wintrust Financial Corporation
Thriffs & Mortgage Finance (1.99%)	
CASH	Meta Financial Group, Inc.

The Financials Portfolio, Series 14 is a Unit Investment Trust.

****INDEX DEFINITION:** The Russell 3000® Index is composed of the 3,000 largest U.S. companies ranked by total market capitalization, representing approximately 98% of the U.S. investable equity market. The Index is unmanaged and it is not possible to invest directly in the index.

RISK CONSIDERATIONS: As with all investments, you may lose some or all of your investment in the Trust. No assurance can be given that the Trust's investment objective will be achieved. The Trust also might not perform as well as you expect. This can happen for reasons such as these: • Securities prices can be volatile. • The Trust is concentrated in the financial sector; the factors that impact the financial sector will likely have a greater effect on this Trust than on a more broadly diversified trust. The profitability of companies in the financial sector is largely dependent upon the availability and cost of capital which may fluctuate significantly in response to changes in interest rates and general economic developments. Financial sector companies are subject to the adverse effects of economic recession, decreases in the availability of capital, volatile interest rates, portfolio concentrations in geographic markets and in commercial and residential real estate loans, and competition from new entrants in their fields of business. • The Trust includes securities issued by small-capitalization and mid-capitalization companies, which may have limited product lines, markets or financial resources and may be more vulnerable to adverse general market or economic developments. These securities customarily involve more investment risk than securities of large-capitalization companies. • Share prices or dividend rates on the securities in the Trust may decline during the life of the Trust. • Inflation may lead to a decrease in the value of assets or income

from investments. Please see the Trust prospectus for more complete risk information.

Unit Investment Trusts are fixed, not actively managed and should be considered as part of a long-term strategy. Investors should consider their ability to invest in successive portfolios, if available, at the applicable sales charge. UITs are subject to annual fund operating expenses in addition to the sales charge. Investors should consult an attorney or tax advisor regarding tax consequences associated with an investment from one series to the next, if available, and with the purchase or sale of units. Guggenheim Funds Distributors, LLC does not offer tax advice.

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Read the Trust's prospectus carefully before investing. It contains the Trust's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus at guggenheiminvestments.com.

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