

Dow Jones Sustainable Growth Portfolio, Series 9

Investment Objective

The Dow Jones Sustainable Growth Portfolio, Series 9 (Trust) seeks to maximize total return primarily through capital appreciation.

Key Considerations

- **High Conviction Growth Allocation:** The Trust invests in U.S.-listed growth stocks that Guggenheim believes may make an attractive component to an investor's overall portfolio.
- **Avoid Growth Traps:** The Trust's rigorous selection process seeks to eliminate growth stocks that have a higher likelihood of losses due to their stock prices reaching levels no longer supported by fundamentals.
- **Growth at a Reasonable Price (GARP):** Based on the security selection, Guggenheim believes that the securities selected for the Trust possess attractive relative valuations and sustainable growth rates.

Past performance is not a guarantee of future results. There is no guarantee that the trends and projections noted above will continue or come to fruition and they are subject to change.

PORTFOLIO SUMMARY

Inception Date	11.18.2019
Termination Date	2.19.2021
Initial Offer Price	\$10.00
Number of Issues	50
Historical Annual Dividend Distribution¹	\$0.0166
Distributions	25th day of each month commencing on 12.25.2019, if any

TICKETING INFORMATION

CUSIP (cash payment)	40175D585
CUSIP (reinvestment accounts)	40175D593
CUSIP (fee-cash)	40175D601
CUSIP (fee-reinvest)	40175D619
Ticker	CSUSIX

¹ The Historical Annual Dividend Distribution (HADD) is as of 11.15.2019 and subject to change. There is no guarantee the issuers of the securities included in the Trust will declare dividends or distributions in the future. The HADD of the securities included in the Trust is for illustrative purposes only and is not indicative of the Trust's distribution rate. The HADD is the weighted average of the trailing twelve-month distributions paid by the securities included in the portfolio and is reduced to account for the effects of fees and expenses, which will be incurred when investing in the Trust. The HADD will vary due to certain factors that may include, but are not limited to, a change in the dividends paid by issuers, a change in Trust expenses or the sale or maturity of securities in the portfolio.

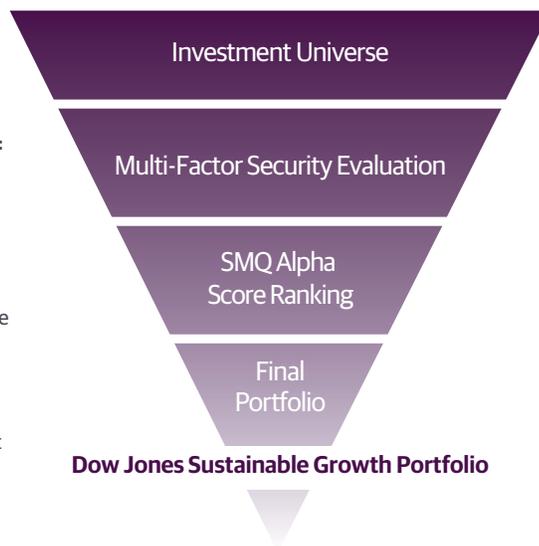
Avoid Growth Traps: Consider Sustainable Growth

A proverb says "trees don't grow to the sky." When applied to investing, it reminds investors that stock prices don't continue to increase forever. Often stock prices of growth companies, driven by unsustainable investor enthusiasm, tend to reach levels that are no longer supported by fundamentals. These are called growth traps and can undermine a portfolio's performance. Guggenheim believes that investing in stocks of growth companies while avoiding growth traps may provide attractive return potential.

Security Selection

In constructing the Trust's portfolio, the securities were selected based on the following fundamentally-based quantitative criteria:

- **Investment Universe:** Companies that comprise the Dow Jones Top Cap Growth Index*, that meet minimum capitalization, liquidity, and share price requirements.
- **Screen Out Undesirable Characteristics:** Screen out companies with undesirable characteristics that Guggenheim believes are most associated with growth traps, including low asset efficiency, high stock price volatility, low forward year earnings per share growth estimates, and low share price momentum.
- **Identify Most Attractively Priced Companies:** From the screened universe, identify the 100 companies with the highest Santa Monica Quantitative (SMQ) Alpha Score. The SMQ score is Guggenheim's proprietary indicator that ranks companies based upon forward-looking expectations versus current market prices.
- **Final Portfolio:** From the 100 companies with the highest SMQ Alpha Scores, the companies with the highest sustainable growth rates are selected for the portfolio. The sustainable growth rate metric favors companies with high returns on capital and high reinvestment rates, which help to support attractive growth without relying on external sources of capital. Portfolio holdings are weighted by sustainable growth rate subject to maximum and minimum holding percentages and sector weights as of the security selection date.



* Index definition may be found on next page.

The Dow Jones Sustainable Growth Portfolio, Series 9 is a Unit Investment Trust.

RISK CONSIDERATIONS: As with all investments, you may lose some or all of your investment in the Trust. No assurance can be given that the Trust's investment objective will be achieved.

• Securities prices can be volatile. • Share prices or dividend rates on the securities in the Trust may decline during the life of the Trust. • Securities selected according to this strategy may not perform as intended. The investment decisions, including the use of the Santa Monica Quantitative (SMQ) Alpha Score, may not produce the intended results and there is no guarantee that the investment objective will be achieved. • The Trust invests in "growth" stocks, which may be more volatile than other stocks. If the perception of a company's growth potential is not realized, the securities purchased may not perform as expected, reducing the Trust's return. Because different types of stocks tend to shift in and out of favor depending on market and economic conditions, "growth" stocks may perform differently from the market as a whole and other types of securities.

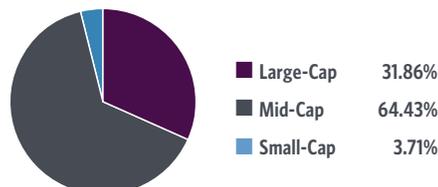
• The Trust invests significantly in the consumer products sector. The factors that impact the consumer products sector will likely have a greater effect on this Trust than on a more broadly diversified trust. General risks of companies in the consumer products sector include cyclicalities of revenues and earnings, economic recession, currency fluctuations, changing consumer tastes, extensive competition, product liability litigation and increased government regulation. A weak economy and its effect on consumer spending would adversely affect companies in the consumer products sector. • The Trust invests significantly in the information technology sector. The factors that impact the information technology sector will likely have a greater effect on this Trust than on a more broadly diversified trust. Companies involved in this sector must contend with rapid changes in technology, intense competition, government regulation and the rapid obsolescence of products and services. Sector predictions may not materialize and the companies selected for the Trust may not represent the entire sector and may not participate in the overall sector growth. • The Trust invests in securities issued by small- and mid-cap companies, which may **(CONTINUED ON REVERSE)**

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Portfolio Allocation

Breakdown and weightings are as of 11.15.2019 and subject to change.

CAPITALIZATION BREAKDOWN



SALES CHARGES

Sales Charge (S/C) is based on a \$10 per unit offering price.

Standard Accounts	Amount Per Unit	Percentage of Public Offering Price
Deferred S/C²	\$0.135	1.35%
Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.185	1.85%
Fee/Wrap Accounts³		
Creation and Development (C&D) Fee	\$0.050	0.50%
Total S/C	\$0.050	0.50%

² The deferred sales charge (DSC) is a fixed amount and will be deducted in monthly installments on the last business day commencing March 2020 and ending May 2020 or upon early redemption. For unit prices other than \$10, percentages of C&D fees, and DSCs will vary but in no event will the maximum sales charge (S/C) exceed the total S/C. Early redemption of units will still cause payment of the DSC. However, an initial sales charge, which is equal to the difference between the maximum S/C and the sum of any remaining deferred S/C charges and C&D, will be charged if the price paid for units exceeds \$10 per unit.

³ For unit prices other than \$10, percentage of the C&D fee will vary.

INDEX DEFINITIONS: The Dow Jones Top Cap Growth Index is a combination of the Dow Jones U.S. Large-Cap Growth Total Stock Market Index and the Dow Jones U.S. Mid-Cap Growth Total Stock Market Index. Dow Jones U.S. Large-Cap Growth Total Stock Market Index is designed to measure the performance of large-cap U.S. equity securities that are classified as "growth" based on multi-factor analysis. The Dow Jones U.S. Mid-Cap Growth Total Stock Market Index is designed to measure the performance of mid-cap U.S. equity securities that are classified as "growth" based on multi-factor analysis. Indexes are unmanaged and it is not possible to invest directly in an index.

PORTFOLIO HOLDINGS

Holdings, breakdown, and weightings are as of 11.15.2019 and subject to change.

Symbol	Company Name	Symbol	Company Name
Communication Services (5.08%)			
GOOG	Alphabet, Inc.	MTD	Mettler-Toledo International, Inc.
DISCA	Discovery, Inc.	PRAH	PRA Health Sciences, Inc.
FB	Facebook, Inc.	REGN	Regeneron Pharmaceuticals, Inc.
TMUS	T-Mobile US, Inc.	UNH	UnitedHealth Group, Inc.
Consumer Discretionary (21.56%)			
AMZN	Amazon.com, Inc.	Industrials (17.22%)	
BKNG	Booking Holdings, Inc.	ALLE	Allegion PLC
KMX	CarMax, Inc.	AWI	Armstrong World Industries, Inc.
DG	Dollar General Corporation	BWXT	BWX Technologies, Inc.
DHI	DR Horton, Inc.	JBHT	JB Hunt Transport Services, Inc.
MAR	Marriott International, Inc.	LMT	Lockheed Martin Corporation
ORLY	O'Reilly Automotive, Inc.	MTZ	MasTec, Inc.
POOL	Pool Corporation	GWW	WW Grainger, Inc.
ROST	Ross Stores, Inc.	Information Technology (24.30%)	
Consumer Staples (2.87%)			
EL	Estee Lauder Companies, Inc.	AAPL	Apple, Inc.
PFGC	Performance Food Group Company	CACI	CACI International, Inc.
Financials (7.35%)			
AMP	Ameriprise Financial, Inc.	CDNS	Cadence Design Systems, Inc.
ESNT	Essent Group Limited	CDW	CDW Corporation
LPLA	LPL Financial Holdings, Inc.	EEFT	Euronet Worldwide, Inc.
WAL	Western Alliance Bancorp	FFIV	F5 Networks, Inc.
Health Care (18.49%)			
ABC	AmerisourceBergen Corporation	FICO	Fair Isaac Corporation
BRKR	Bruker Corporation	FLT	FleetCor Technologies, Inc.
CRL	Charles River Laboratories International, Inc.	IT	Gartner, Inc.
CI	Cigna Corporation	G	Genpact Limited
DVA	DaVita, Inc.	MA	Mastercard, Inc.
HSIC	Henry Schein, Inc.	Materials (1.76%)	
IDXX	IDEXX Laboratories, Inc.	SHW	Sherwin-Williams Company
Real Estate (1.37%)			
		CBRE	CBRE Group, Inc.

RISK CONSIDERATIONS (CONTINUED) have limited product lines, markets or financial resources and may be more vulnerable to adverse general market or economic developments. These securities customarily involve more investment risk than securities of large-capitalization companies. • Inflation may lead to a decrease in the value of assets or income from investments. **Please see the Trust prospectus for more complete risk information.**

Unit Investment Trusts are fixed, not actively managed and should be considered as part of a long-term strategy. Investors should consider their ability to invest in successive portfolios, if available, at the applicable sales charge. UITs are subject to annual fund operating expenses in addition to the sales charge. Investors should consult an attorney or tax advisor regarding tax consequences associated with an investment from one series to the next, if available, and with the purchase or sale of units. Guggenheim Funds Distributors, LLC does not offer tax advice.

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Read the Trust's prospectus carefully before investing. It contains the Trust's investment objectives, risks, charges, expenses and other information, which should be considered carefully before investing. Obtain a prospectus at GuggenheimInvestments.com.

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